

Recording EAST Serials/Journals Retention Commitments

Agenda:

- Review of Retentions Confirmed - Cohort 1 4-6
- Using the 583 field to Record Serials Retentions
- How to Record Optional Validation
- Recording Commitments in your ILS
- Recording Commitments in PAPER
- OCLC Shared Print Registration Service
- Timeline / Next Steps



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Review of Retentions Confirmed

Over 9,000 titles have been confirmed for retention

Some reasons for rejections:

Not on Shelf / Withdrawn

Out of Scope:

Reference materials

Annuals/Monographic Series

Government Document

THANK YOU!



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EAST Shared Print Disclosure

The EAST Disclosure Policy states that "EAST Retention Partners will record EAST retention commitments in their local catalogs to prevent withdrawal of these volumes. Retention Partners will also disclose EAST retention commitments in regional and national/international systems (e.g., OCLC WorldCat) in ways that are consistent with emerging national or international standards."

Reminder of work so far on recording retentions...

Working Group Website:

<https://sites.google.com/a/blc.org/583-group/>

Linked from Member Resources / Documentation sidebar on eastlibraries.org

Includes background and tutorials on the 583 field for monographs and how to record and display it in various Integrated Library Systems.

Quick Review of the 583 Field for Monographs

583 1_ |acommitted to retain|c20160630|d20310630|fEAST|u

<http://eastlibraries.org/retained-materials>|5NRU

583 1_ |acommitted to retain|c20160630|d20310630|fEAST|u

<http://eastlibraries.org/retained-materials>|5MeWC|zRetained for EAST

Using the 583 field to Record Serials Retentions

Subfield 3 - Materials specified

For monographs can contain volume or copy information

For series – holdings to which action applies. Enter the range of holdings and indicate gaps if known.

“Include if this 583 Action Note describes part of the holdings or a different set of holdings than were specified in the LHR holdings fields (85x/86x/87x or 866).”

EAST encourages ALWAYS including the |3 if possible.

Depending on your ILS and number of commitments, it may be a manual process to add the |3. We still strongly encourage you to do so.



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Looking again at a 583 w/ subfield 3

**583 1_ |3 v.3:n.4 (1991) - v.10:n.4 (12/1998) |a committed to
retain |c 20160630 |d 20310630 |f EAST
|u <http://eastlibraries.org/retained-materials> |5 NRU**

A note about holdings statements

v.1-v.24 (1978-2003) -- preferred format

1-24 1978-2003

1(1978)-24(2003)

CRL recommend using guidelines outlined in ANSI/NISO Z39.71-2006 (R2011), [Holdings Statements for Bibliographic Items](#)

5.5.1.5 Gaps and Non-Gap Breaks : Record and display data in a positive sense; that is, emphasize that which is held rather than that which is not.

1942-1990,1994-

NOT 1942-, missing 1991-1993



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How to Record Optional Validation

Multiple 583s can be used to record levels of validation
'completeness reviewed' and 'condition reviewed'

583 1 #3 v.1 (1929)-v.13(1941), v.15(1943)-v.20(1948),v.22(1950)-v. 32(1960)
#a committed to retain #c 20160630 #d 20310630 #f EAST #u
<http://eastlibraries.org/retained-materials> | 5 NRU

583 1 #a completeness reviewed #c 20160630 #d 20310630 #f EAST
#i volume-level #l missing volumes #z missing v.14,v.21



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How to Record Binding Oddities

Multiple 583s can be used to record binding oddities:
'completeness reviewed'

583 1 #3 1948-1963 #a committed to retain #c 20160630 #d
20310630 #f EAST #u <http://eastlibraries.org/retained-materials> |5
NRU

583 1 #a completeness reviewed #c 20160630 #d 20310630
#f EAST #l binding patterns vary #z no. 88 1958 bound with v. 60.

Condition Reviewed - PDA Terminology

Preservation & Digitization Actions: Terminology for MARC 21 Field 583

Standard \$I terms used when \$a = condition reviewed		
acidic paper	insect damaged	rehoused poorly
alkaline paper	loose	repaired previously
annotated	marginalia	scratched
blocked	missing	stained
brittle	mold damaged	sticky shed
damaged	mutilated	torn
defaced	not intact	undamaged
delaminated	obscured	vinegar syndrome
do not treat	obsolescent format	warped/cockled
faded	oxidized	water damaged
flaked/cracked	rebacked	
foxed	redox	

Note: Use of the terms above is encouraged. If alternate terms are necessary, however, record them using natural language in \$X or \$Z.

Example:

583 0# \$a condition reviewed \$c 20041202 \$I oxidized \$x redox \$2 pda
\$5 DLC

Example:

583 0# \$a condition reviewed \$c 20041002 \$I damaged \$z pressure-
sensitive tape \$2 pda \$5 DLC

583 Guidelines from other programs

OCLC: <https://www.oclc.org/en/services/shared-print-management/metadata-guidelines.html>

ASERL: <http://www.aserl.org/programs/j-retain/standards-for-use-of-the-marc-583-field/>

WEST: <http://www.cdlib.org/services/west/docs/WESTDisclosurePolicyFinalR>



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583 Guidelines from other programs - Caveats!

2. WEST Silver title (example of holdings at UC SRLF, originally from UCLA)

Leader cy a3n
007 ta
008 1107210u 8 1001aaeng0110728
022 0128-9035
561 CLU #5 CLU_MU
583 committed to retain #c 20120331 #d December 31, 2035 #f WEST #u
<http://www.cdlib.org/services/west/docs/WESTProgramStatement.pdf> #f WEST Silver #f UC Shared
Print
583 completeness reviewed #c 20120331 #f WEST #f WEST Silver #f UC Shared Print #i
volume-level #l missing volumes #z missing v.14,v.21
852 ZASSP #b CLU_MU
866 #8 0 #a v.1 (1929)-v.13(1941), v.15(1943)-v.20(1948),v.22(1950)-v. 32(1960)

852

#a Location (Use Print Archives Institution Symbol)
#b Sublocation (Use Holdings Location Code)



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Getting back to the EAST Example

583 1 #3 v.1 (1929)-v.13(1941), v.15(1943)-v.20(1948),v.22(1950)-v. 32(1960)
#a committed to retain #c 20160630 #d 20310630 #f EAST #u
<http://eastlibraries.org/retained-materials> | 5 NRU

583 1 #a completeness reviewed #c 20160630 #d 20310630 #f EAST
#i volume-level #l missing volumes #z missing v.14,v.21

But my data isn't in that format.....

Data we received varied

We are reviewing and updating as necessary

You will receive a link to a final list of retentions

Recording Retentions in your ILS

<https://sites.google.com/a/blc.org/583-group/recording-retention-commitments>

Instructions for Serials (or what we know so far):

- Aleph
- Alma (pending)
- Millennium / Sierra
- Voyager
- WMS - OCLC does not have a mechanism for this yet



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Eastern Academic Scholars' Trust (EAST)

Program Description

The Eastern Academic Scholars' Trust (EAST) is a shared print initiative of 48 partner libraries in the Eastern United States. In the fall of 2014, the Boston Library Consortium (BLC) agreed to act as the host institution for the EAST project with responsibility for staffing, fiscal oversight, and management of grant proposals and awards. In parallel with the collection analysis and development of retention commitments, the EAST libraries have developed policies that will govern the membership and be incorporated into the Memorandum of Understanding (MOU), which is to be signed by all EAST members.

Review Profile Link: <https://www.crl.edu/profiles/eastern-academic-scholars%E2%80%99-trust-east>

Program Details

- **Program URL:**
<https://eastlibraries.org/>
- **Organization URL:**
<https://eastlibraries.org/>
- **Members List:**
<https://eastlibraries.org/members>
- **Country:** USA

Operating Details

- **MOU URL:**
https://eastlibraries.org/sites/default/files/BLC_Uploads/Memorandum%20of%20Understanding%20October_14_2016.pdf
- **Archive Type:** Print
- **Status:** Operational
- **Format Archived:** Mixed
- **Selection:** A large-scale analysis of the print collections of the EAST Retention Partner libraries by Sustainable Collection Services (SCS) determined which titles members have committed to retain. The EAST

Serials & Journals Retentions: PAPR

Sample spreadsheet for deposit: <https://goo.gl/oLYCyW>

Requires Marc Organization code and OCLC Symbol

(OCLC Symbol | OCLC HLC | MARC ORG CODE)

EAST will intermedate this on behalf of the library



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Updating Retentions in PAPR

Basics

- Serial holdings disclosures are loaded into PAPR in batches, or files containing multiple LHR records.
- Records, in a batch, can be for a single institution and location or multiple institutions or locations.
- Libraries can provide the LHR records or CRL can format them from holdings records exported from library catalogs or from information in spreadsheets.
- File naming convention for contributed files: [OCLC symbol]_[yyyymmdd]
- Load file into the PAPR folder in CRL's EAST ftp account.
- Send an email to cjurczyk@crl.edu, alerting us that the file has been loaded and how many records it contains.
- Updates are usually made by deleting all existing records and reloading all records, even those without changes.

Match Point

- A valid ISSN (022\$a) or OCLC number, ideally both.
 - The OCLC number must be in a valid format, either all digits, or a numeric string prefixed with a valid OCLC number prefix: ocn, ocm, (OCoLC).
 - The OCLC number must be supplied in a consistently used tag used only for that purpose. This value is specified as part of the command line script and will be applied to all records in a file.
 - The ISSN must be in the 022a

Dashboard

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Enter search terms

Search

Book forum.

Program: Eastern Academic Scholars' Trust (EAST)

- **Publisher:** Hudson River Press
- **Print ISSN:** 0094-9426
- **Electronic ISSN:**
- **OCLC Number:** 1795991

- **Validation:** volume-level validation
- **Retention:** 20310630
- **Institution:** Bard College
- **Facility:** Stevenson Library (Bard College)
- **OCLC Symbol:** VVP

Materials	Archive Action	Date of Action	Status/Note
v. 1-v.6 (1975-1984)	committed to retain	2016-06-30	
v. 1-v.6 (1975-1984)	completeness reviewed	2016-06-30	

OCLC Shared Print Registration Service

No timeline yet for serials. Existing programs continue to use a second shared print symbol for disclosure in WorldCat.

Timeline / Next Steps

March: Final formatted allocations lists submitted to CRL

By **May 1:** Record retentions in ILS, send example to EAST

Cohort 2 coming online over the next few months

Kickoff Next Week!

EAST is now a [Rosemont](#) Member



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Examples from CRL to look at during Q & A

=LDR 00595ny 22001453n 4500
=561 \\\$aAZU
=001 .c1031715
=004 .b14794032
=005 20170608140117
=008 8611245u\\0\\0001uueng0170614
=022 0\\\$a0714-9808\$I0714-9808\$24
=035 \\\$a(OCOLC)9586298
=583 1\\\$3v.1-23 (1982-2004)\$acommitted to retain\$c20170608\$d20351231\$fWEST\$fWEST Bronze\$jAZUSP\$2pda\$5AZU
=852 \\\$aAZUSP\$bAZUA\$hHQ1060\$i.C3
=866 \\\$av.1:no.1 (Spr. 1982)-v.23:no.4 (Win. 2004)

=====
=LDR 03020cas a2200733 4500
=001 \\\$ab15383192
=008 791126c19099999inuqr1p\\0\\a0eng\d
=022 0\\\$a0003-0031
=035 \\\$a(OCOLC)5731039
=583 1\\\$3v.1-v.162 (1909-2009)\$acommitted to retain\$c20170328\$dpermanent\$fCRLJSTOR\$jCRLAA\$2PDA\$5ICRL.
=583 1\\\$3v.1-v.162 (1909-2009)\$acompleteness reviewed\$c20170328\$fCRLJSTOR\$jCRLAA \$iissue-level validation\$2PDA\$5ICRL.
=583 1\\\$3v.1-v.162 (1909-2009)\$acondition reviewed\$c20170328\$fCRLJSTOR\$jCRLAA \$iissue-level validation\$lloose pages\$zloose pages
v.17:no.1,366-367, v.21:no.2,414-415:no.3,695-702, v.24, v.27\$2PDA\$5ICRL.
=852 \\\$aCRLAA



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Comments / Questions / Concerns

Questions can be directed to Sara Amato, EAST Data Librarian

Email anytime: samato@blc.org, or request a phone/web meeting

Documentation on the EastLibraries.org website:
<http://eastlibraries.org/member-resources>



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