

# Dismantling Silos to Build Robust Shared Print Projects

ACRL - NEC May 13, 2016 Anna Perricci, EAST Project Manager aperricci@blc.org



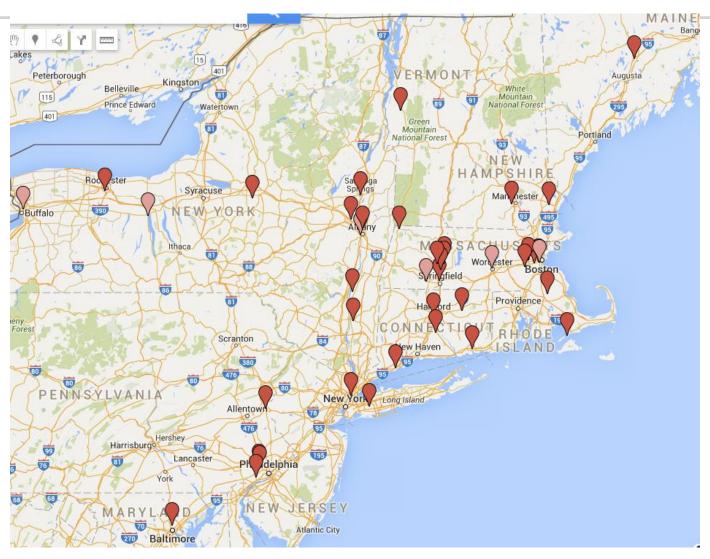
### Informal EAST audience census

### Show of hands

- Are you affiliated with a library that is an EAST partner?
- Are you a member of the Monographs WG or Validation WG?
- Are you a Validation Sample Study Coordinator?
- Are you otherwise interested in EAST?



# EAST is







# EAST teams

### EAST leadership team

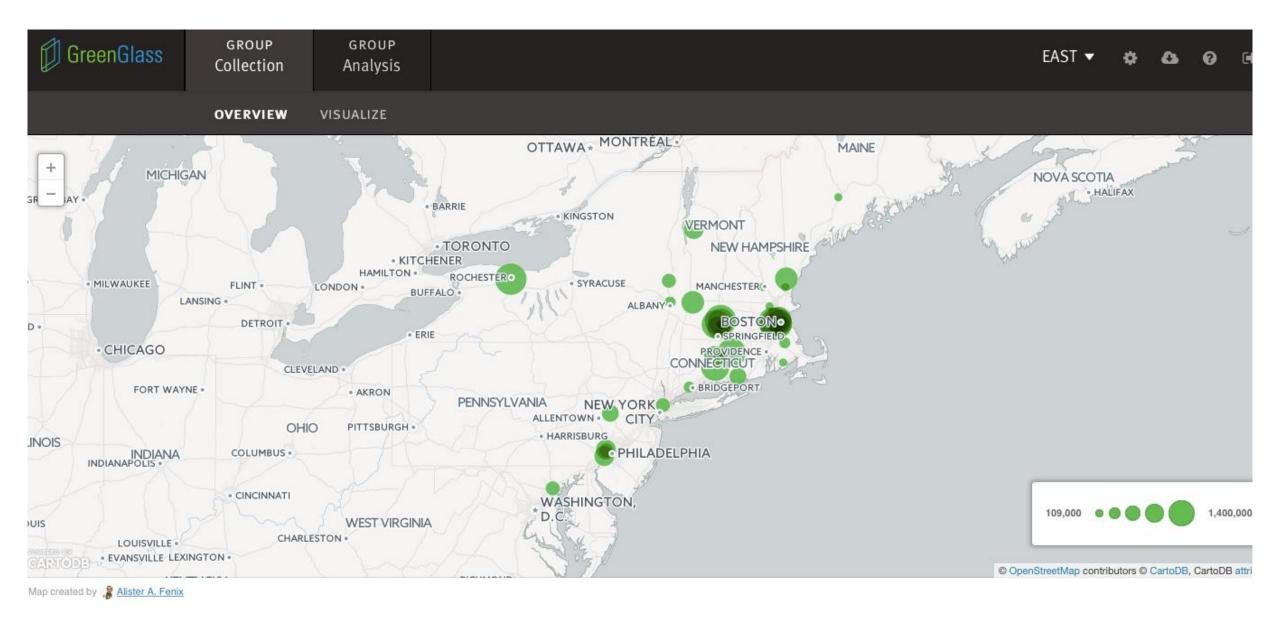
- Anna Perricci, EAST Project Manager
- Matthew Revitt, Shared Print Consultant
- Sara Amato, EAST Data Librarian
- Susan Stearns, Executive Director, Boston Library Consortium
- Pls on Mellon grant: John Unsworth (Brandeis) & Laura Wood (Tufts)
- Executive Committee (primary governance)
- Sustainable Collections Services (SCS)
- Monographs Working Group
- Validation Working Group



# EAST has

- Stakeholders from 48 libraries, including members of other consortia & partnerships
- Access to a large data set in GreenGlass for collection analysis (containing over 16.5 million monographs)
- Preliminary policies drafted for a sustainable and robust shared print project
- Financial support from partners/members, the Mellon Foundation (supporting implementation phase) & the Davis Educational Foundation (collection analysis)





### **Eastern Academic Scholars' Trust**



### The EAST Shared Print Collection

title sets

4,749,042

title holdings

16,573,071

title sets held by one library in the group

50%

2,359,033 title sets

title sets with > 10 aggregate uses

20%

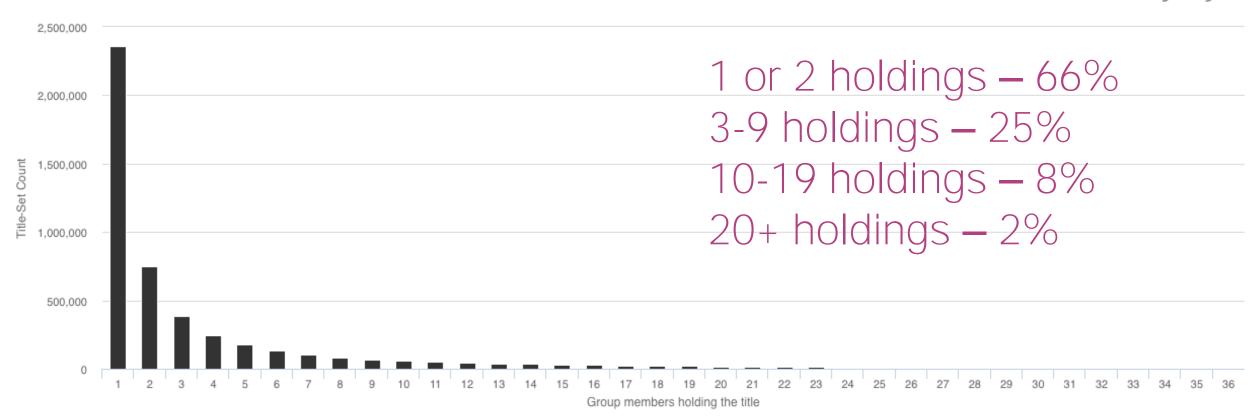
*939,819 title sets* 

title sets represented in hathitrust

39%

1,865,115 title sets

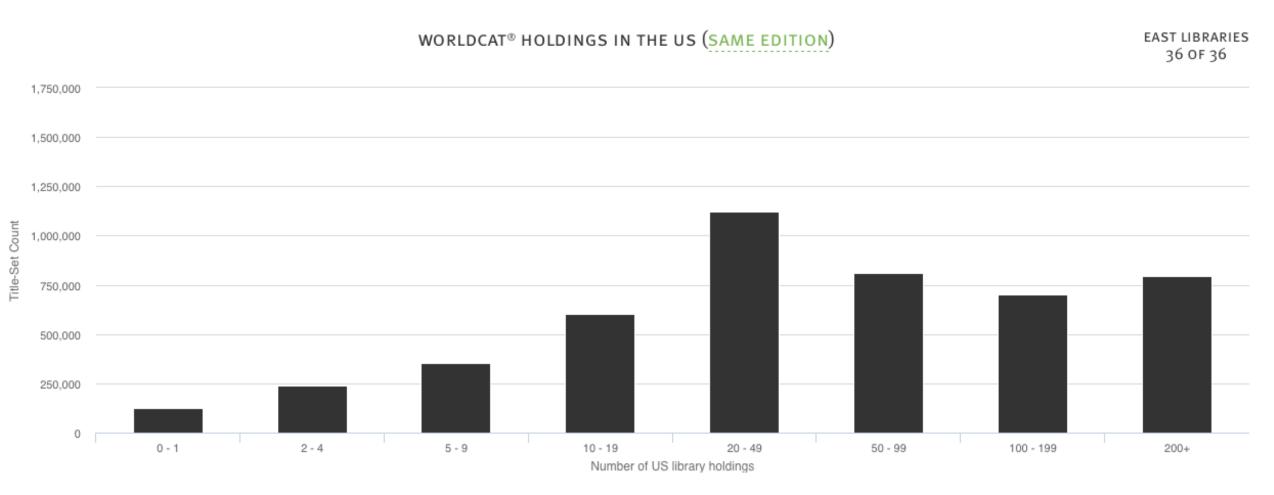
### PARTICIPANT HOLDINGS OVERLAP



### **Eastern Academic Scholars' Trust**



## Uniqueness of EAST holdings



### **Eastern Academic Scholars' Trust**







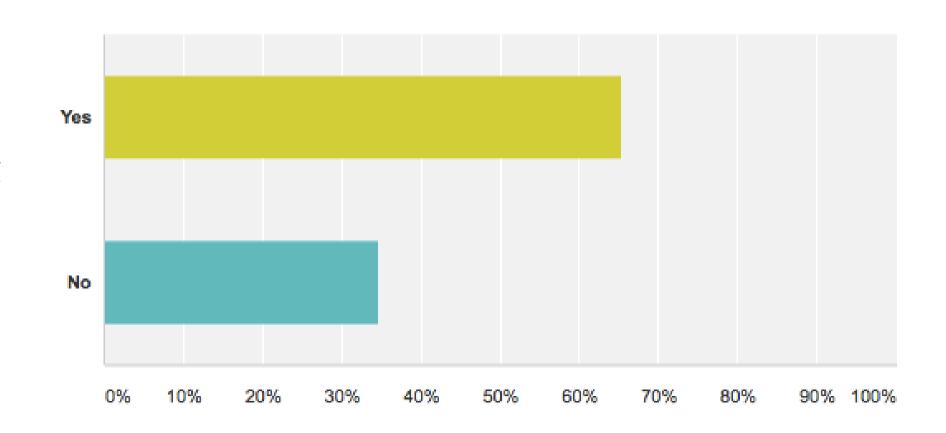
### Fundamental discussions in modeling

- Retain only some or retain at least copy of every title?
- What is the local capacity for retention—does it or how much does it vary from one institution to another?
- Ultimately how does one form algorithms to meet the local needs in a way that is manageable and sustainable through EAST?



# Survey 1 on retention models & key points

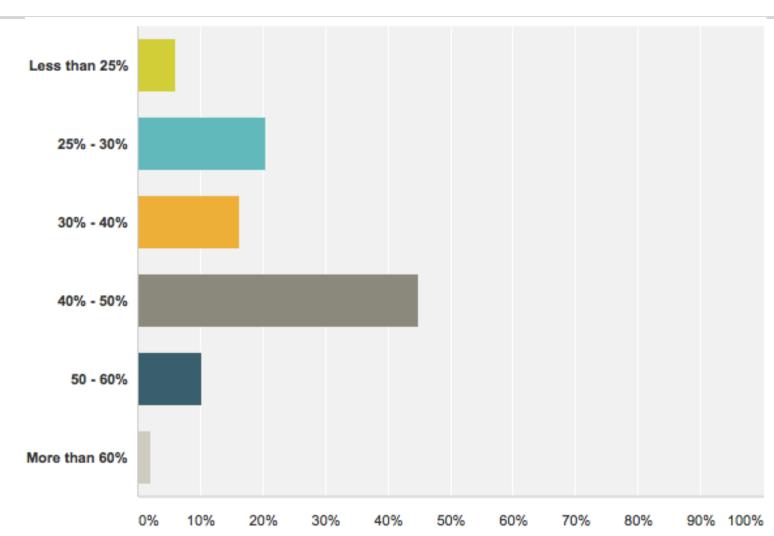
Do you believe
EAST should
commit to
retaining at least
1 copy of every
title currently
owned within
EAST libraries?





# Survey 1 on retention models & key points

What do you believe is the MAXIMUM percentage of each library's titles that EAST can reasonably expect the library to retain?

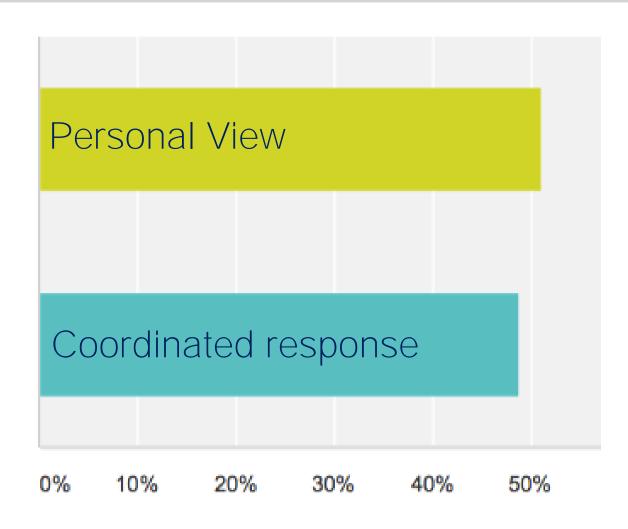






### Survey 2 on retention models included

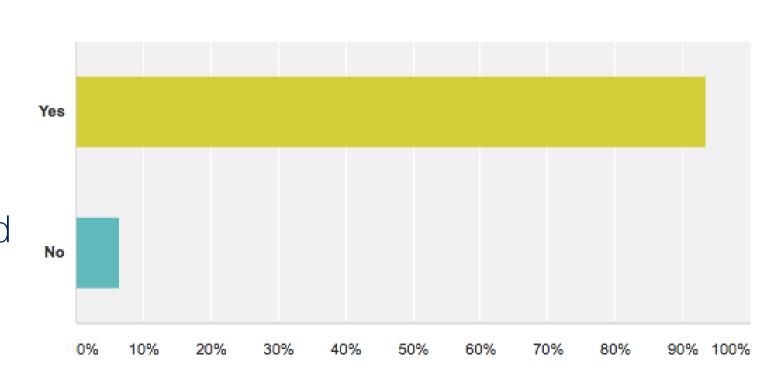
My answers to questions in this survey





### Survey 2 on retention models included

From a purely philosophical or theoretical vantage point, I/we support the concept of 'unequal' allocation of retention commitments, whereby some libraries could commit to retaining a higher than average percentage of their collection...







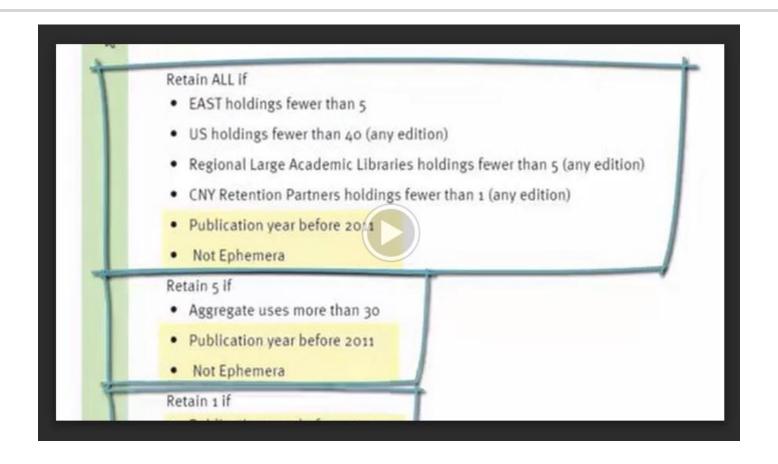
## Third & final survey

- One response per library (via EAST Voting Member) [closed May 12]
  - 4/11 Retention Model is generally acceptable to my library
  - Maximum retention rate expected for your library
- Mid May: retention model recommendations finalized by Monographs Working Group
- Early June: Executive Committee approves final model



### Videos on retention models

https://sites.google.com/a/blc.org/east-collection-analysis/videos-on-models







# For more info on survey results

See <a href="https://sites.google.com/a/blc.org/east-collection-analysis/surveys-on-models">https://sites.google.com/a/blc.org/east-collection-analysis/surveys-on-models</a>

 Talk to Matthew Revitt [matthew.revitt@maine.edu] and Ruth Fischer [fischerr@oclc.org]



### Retention model to allocation timeline

Task Name	Start Date	End Date	Assig To	May Jun Jul Aug
			T	<b>☆</b> Q Q ₹
EC approves model or recommends final changes	06/06/16	06/06/16	EC	•
Retention commitments	06/06/16	08/16/16		
SCS creates proposed lists of retained titles for each EAST library	06/06/16	06/24/16	scs	
EAST libraries report back changes to SCS	06/27/16	07/29/16	Libraries	
SCS modifies retention lists to remove library rejections	06/27/16	08/16/16	scs	

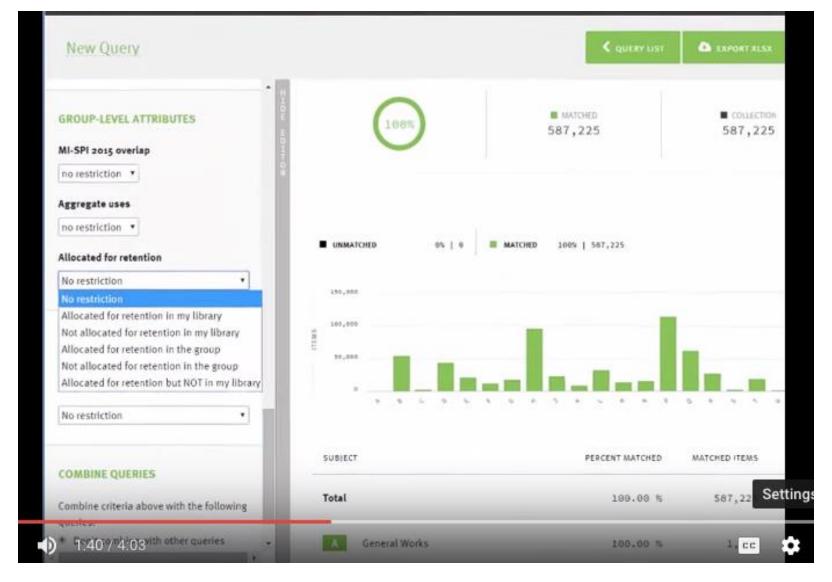


### Allocations workflows

https://www.youtube.com/watch?v=PcMexDeCTJU







https://www.youtube.com/watch?v=PcMexDeCTJU





# Validation Sample Study #1

- <u>Sample study</u> to determine **an "availability metric"** to provide a rough estimate of the percentage of library holdings likely to be available (are accounted for)
- Building trust and support stakeholder buy in
- Each library received a list of 6,000 titles randomly selected from the in-scope records that were sent to SCS
- Each library checked the local ILS and shelves to determine availability, and perform a quick condition assessment of the items when they were physically available



# Validation Sample Study #1

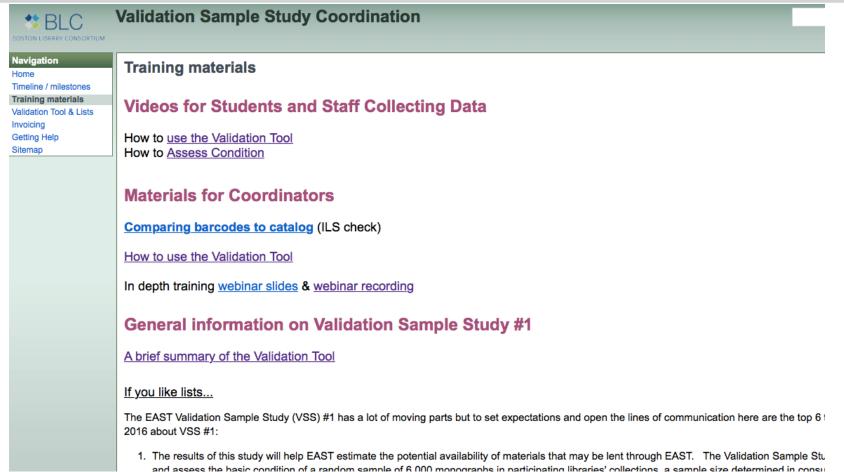
- Thank You to the Validation Working Group members and Validation Sample Study Coordinators!
- Training and outreach completed in February
- Libraries ran checks against local ILS (identify checked out materials)
- Data collection began in earnest week of February 22<sup>nd</sup>
- Completed in 9 weeks (April 22<sup>nd</sup>)
- Statistics consultant is currently performing analysis



### Staying on track on a tight schedule

### Resources for Validation Sample Study Coordinators

https://sites.google.com/a/blc.org/validation-study-coordination/training-materials

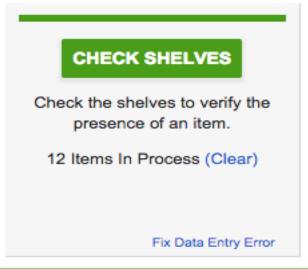


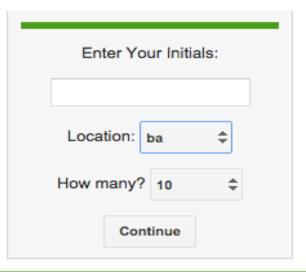






### Introduction to the Validation Tool: Check Shelves









# Summary

# Checked	% Accounted For	Average Condition	% Poor	% Validated by Barcode	% In Circ
240,000 ( 100% )	97.0%	2.2 1=poor,2=average 3=excellent	10.0	87.1	2.3

Status	Definition	Counted As
Present	Catalog status reflects availability on shelf and item has been verified as present.	Accounted For / Available
CheckedOut	Catalog status reflects the item is in circulation.	Accounted For / Available
LMBO	Catalog status reflects the item is Lost, Missing, Billed or Otherwise not currently available.	Unaccounted For / Unavailable
NotOnShelf	Catalog status reflects availability but item was not found on shelf.	Unaccounted For / Unavailable



### Policy and MOU Work

- Policy topics reviewed
  - Selection, retention, location, ownership
  - Access and delivery
  - Disclosure and Discovery
- Policy adoption
- Create Memorandum of Understanding (MOU) in summer to early fall 2016



### Other Ongoing Work of the EAST Team

- In discussion with OCLC on batch updating of WorldCat holdings record with final retention commitments
- Future planning for EAST coordination with other regional and national programs
- Future planning for 2<sup>nd</sup> cohort of EAST libraries in FY18



## EAST Major Milestones (2016)

- ✓ Data extracts for collection analysis
- ✓ GreenGlass rolled out
- ✓ Validation Sample Study #1
- Development of retention model (almost done!)
- Policy review and adoption (almost done)
- Executive Committee approves final model (early June)
- Retention proposals reviewed (late June through late July)
- Retention commitments finalized (by mid to late August)



### **Eastern Academic Scholars' Trust**



# Thank you!

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