Dismantling Silos to Build Robust Shared Print Projects

ACRL - NEC

May 13, 2016

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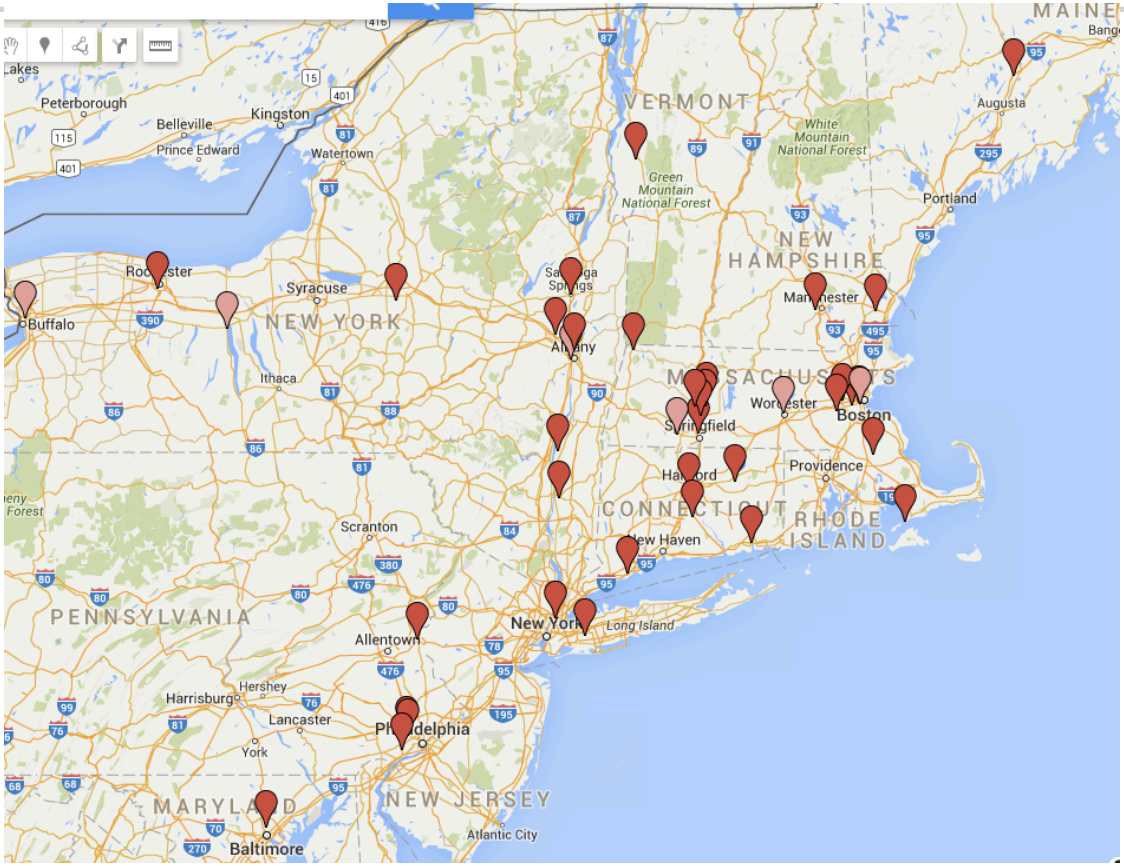
Informal EAST audience census

Show of hands

- Are you affiliated with a library that is an EAST partner?
- Are you a member of the Monographs WG or Validation WG?
- Are you a Validation Sample Study Coordinator?
- Are you otherwise interested in EAST?

EAST is



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EAST teams

EAST leadership team

- Anna Perricci, EAST Project Manager
- Matthew Revitt, Shared Print Consultant
- Sara Amato, EAST Data Librarian
- Susan Stearns, Executive Director, Boston Library Consortium
- PIs on Mellon grant: John Unsworth (Brandeis) & Laura Wood (Tufts)

- Executive Committee (primary governance)
- Sustainable Collections Services (SCS)
- Monographs Working Group
- Validation Working Group

EAST has

- Stakeholders from 48 libraries, including members of other consortia & partnerships
- Access to a large data set in GreenGlass for collection analysis (containing over 16.5 million monographs)
- Preliminary policies drafted for a sustainable and robust shared print project
- Financial support from partners/members, the Mellon Foundation (supporting implementation phase) & the Davis Educational Foundation (collection analysis)

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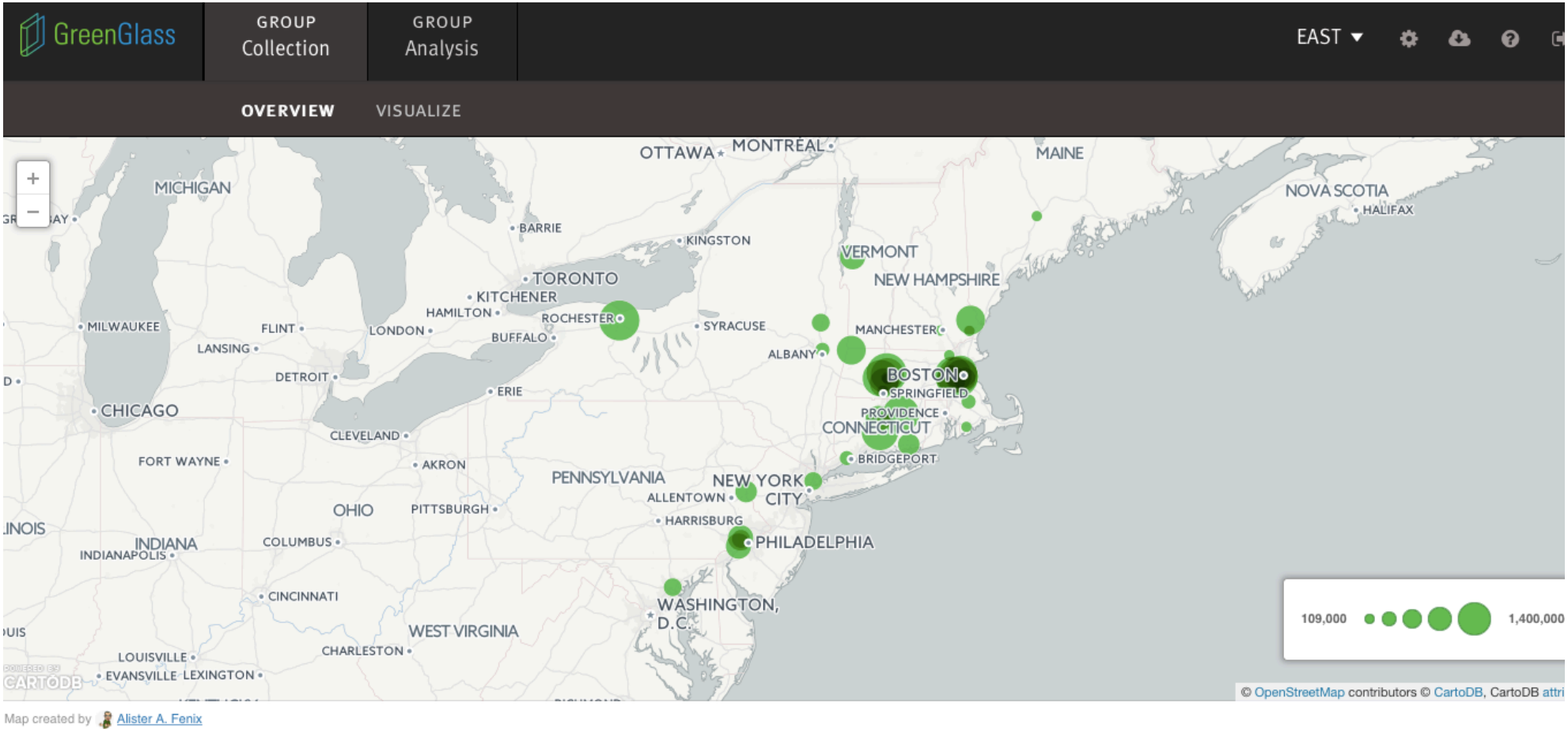

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The EAST Shared Print Collection

title sets

4,749,042

title holdings

16,573,071

title sets held by one library in the group

50%

2,359,033 title sets

title sets with > 10 aggregate uses

20%

939,819 title sets

title sets represented in hathitrust

39%

1,865,115 title sets

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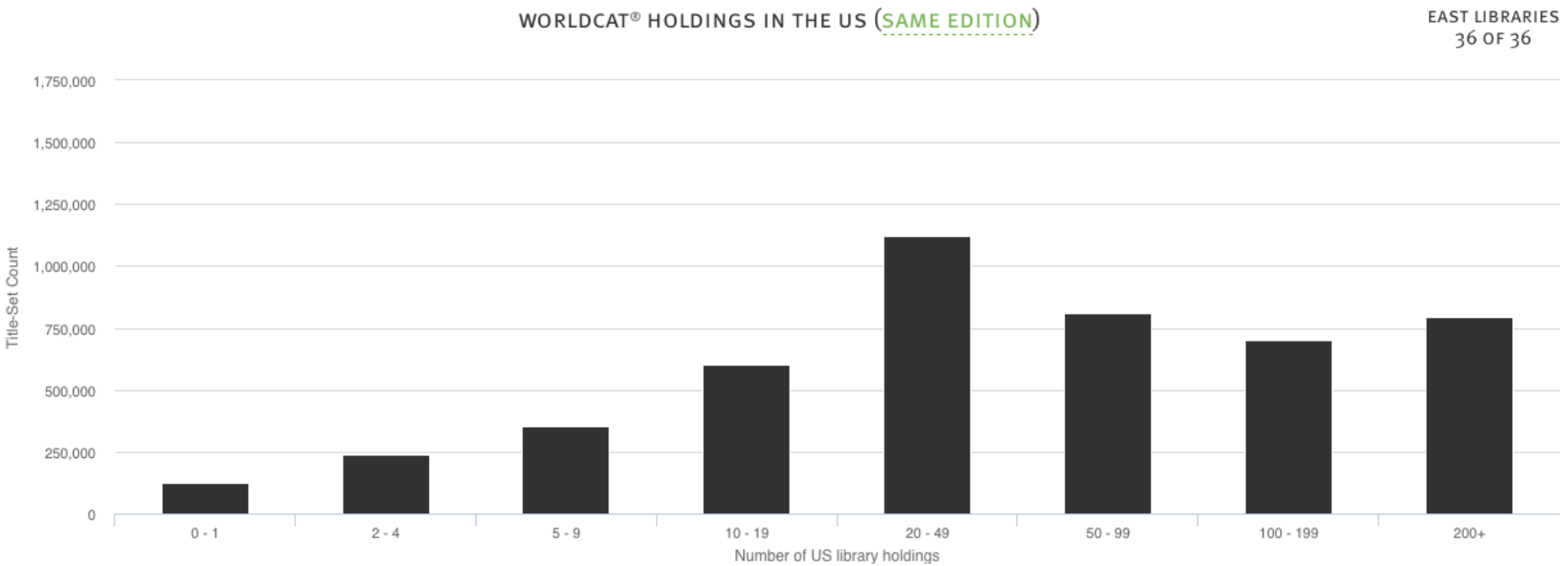
Uniqueness of EAST holdings



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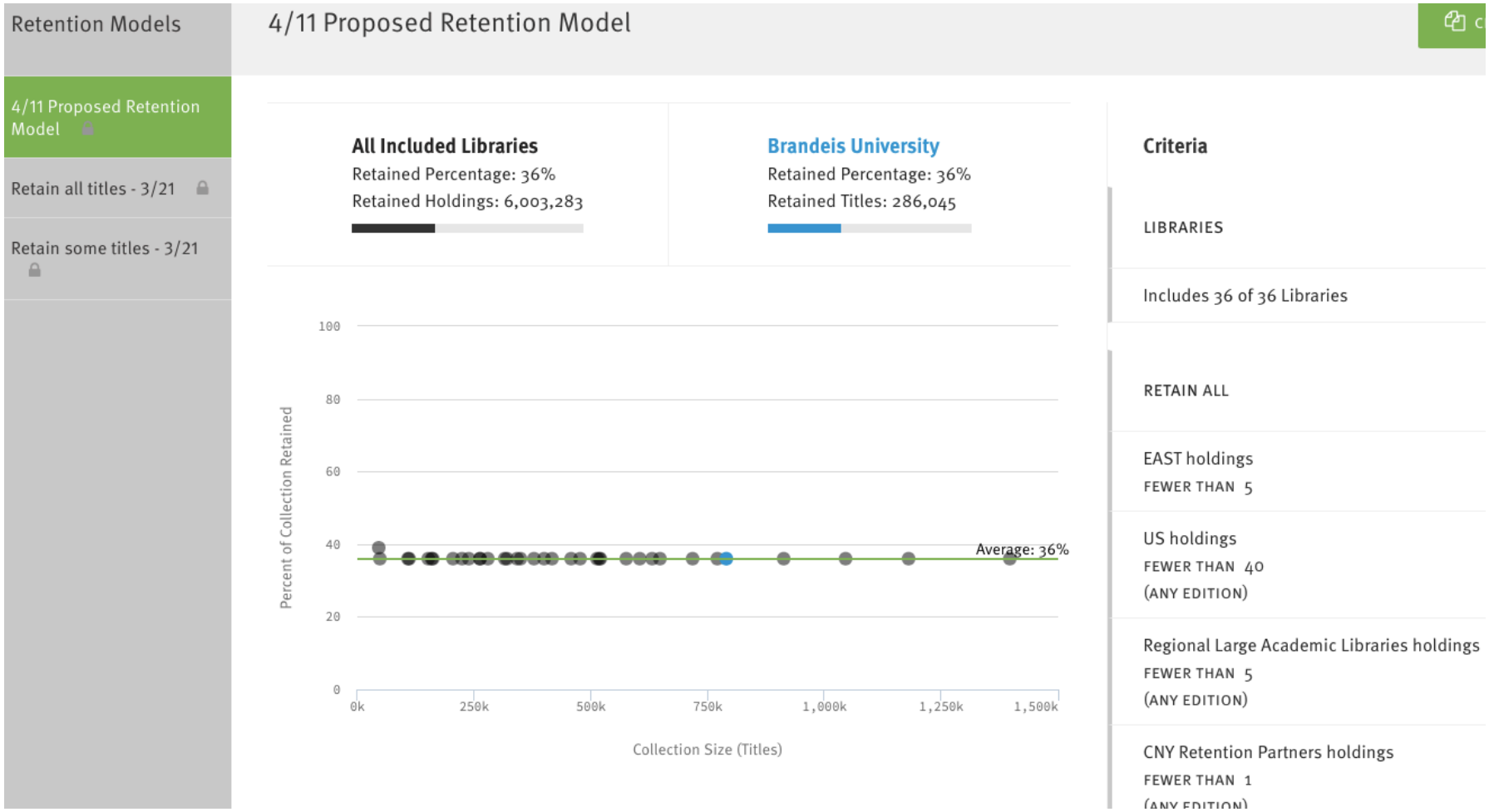

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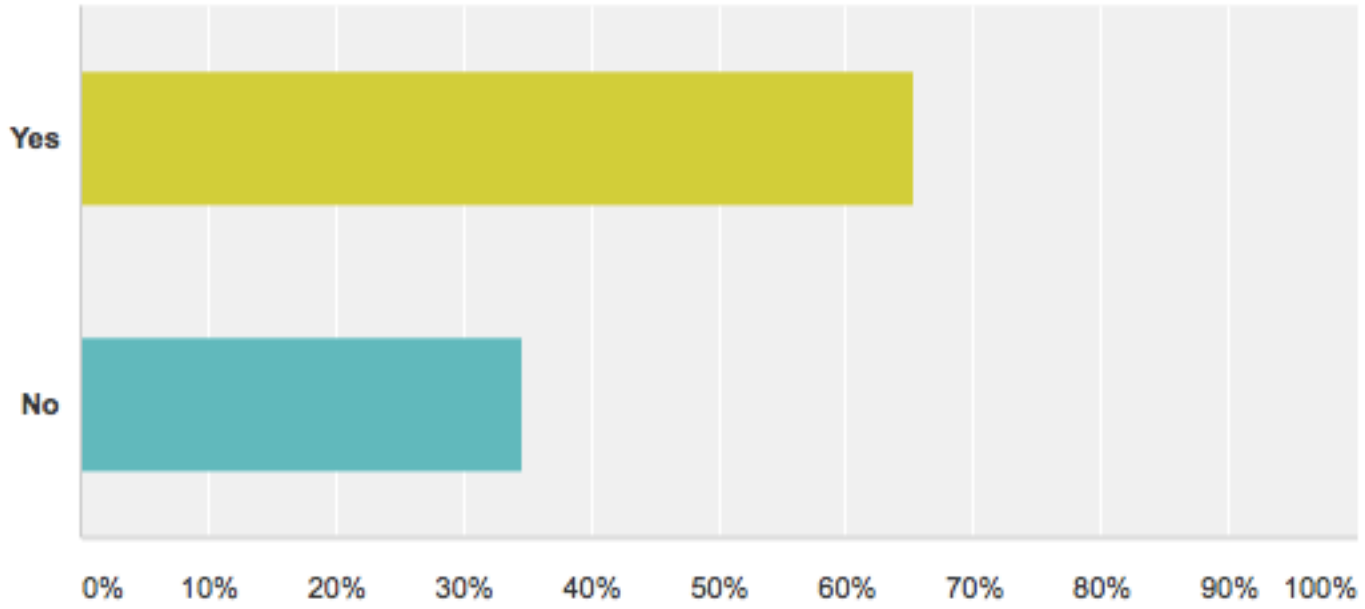
Fundamental discussions in modeling

- Retain only some or retain at least copy of every title?
- What is the local capacity for retention—does it or how much does it vary from one institution to another?
- Ultimately how does one form algorithms to meet the local needs in a way that is manageable and sustainable through EAST?

Survey 1 on retention models & key points

Do you believe EAST should commit to retaining at least 1 copy of every title currently owned within EAST libraries?



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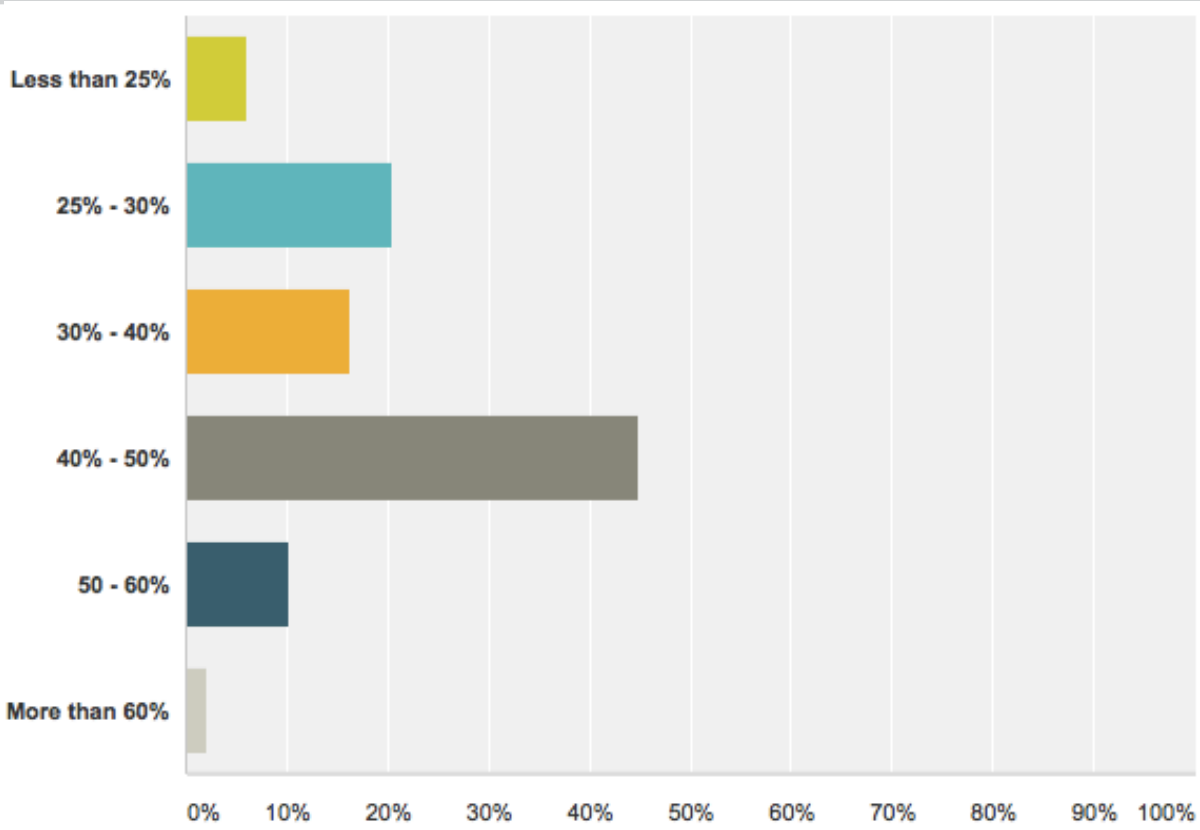
Survey 1 on retention models & key points

What do you believe is the MAXIMUM percentage of each library's titles that EAST can reasonably expect the library to retain?



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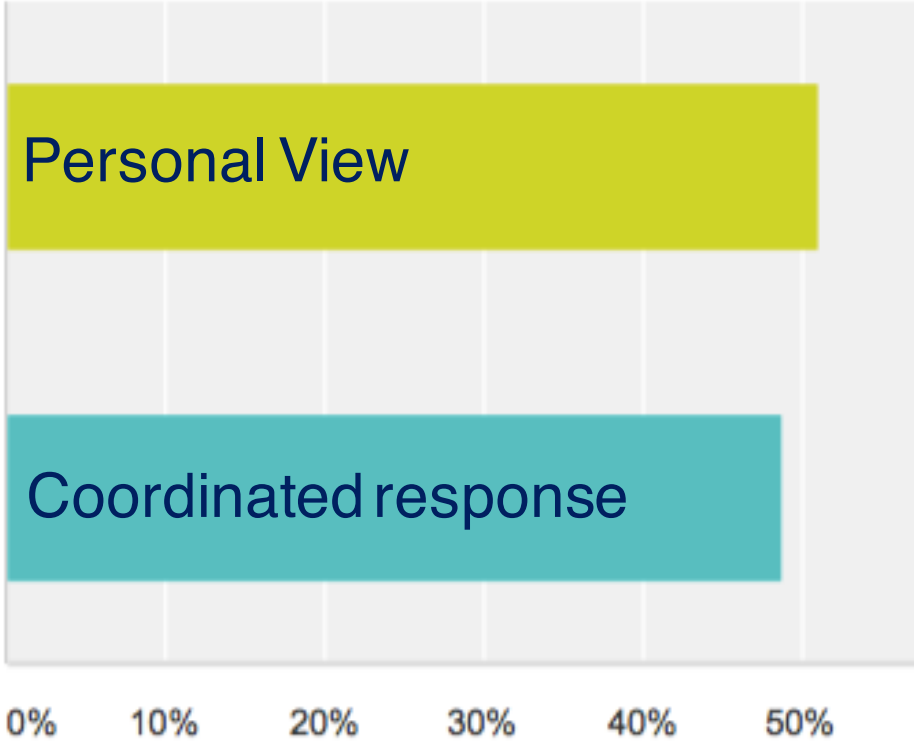
Survey 2 on retention models included

My answers to questions in this survey



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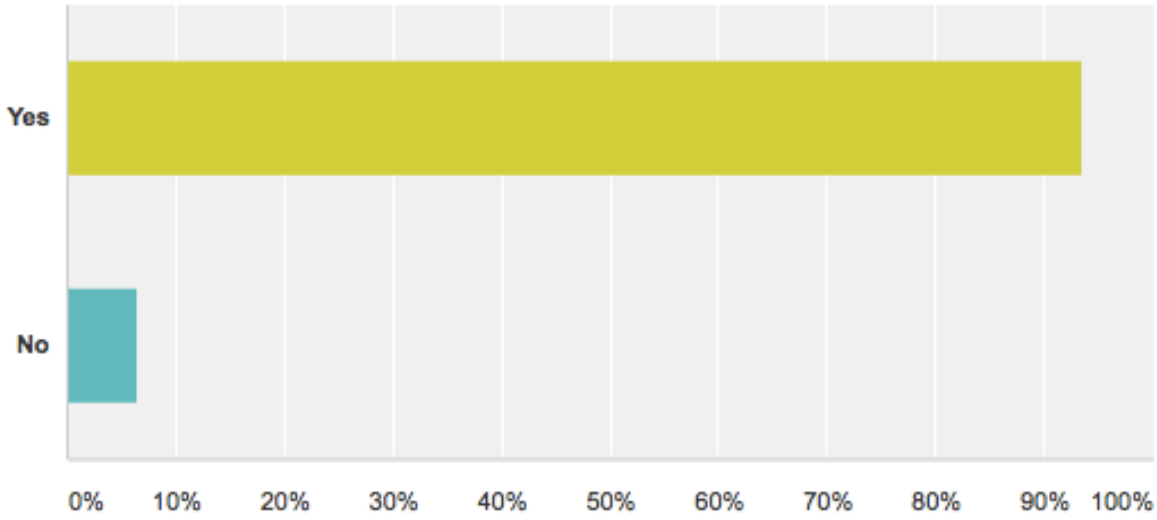
Survey 2 on retention models included

From a purely philosophical or theoretical vantage point, I/we support the concept of 'unequal' allocation of retention commitments, whereby some libraries could commit to retaining a higher than average percentage of their collection…



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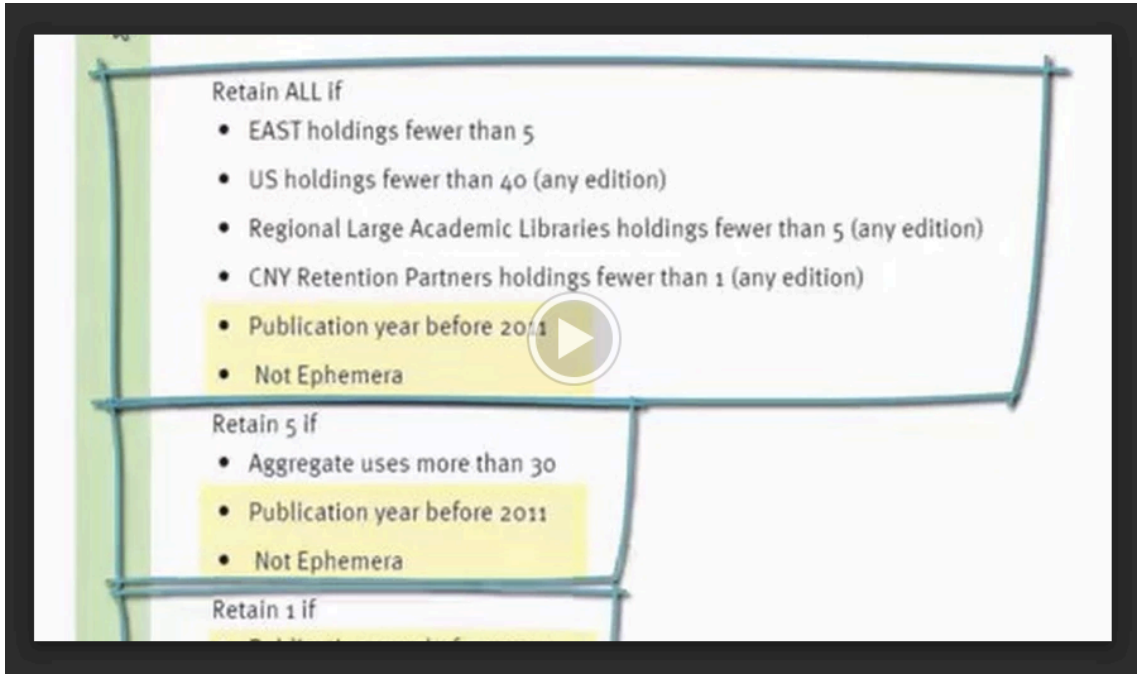
Third & final survey

- One response per library (via EAST Voting Member) [closed May 12]
  - 4/11 Retention Model is generally acceptable to my library
  - Maximum retention rate expected for your library
- Mid May: retention model recommendations finalized by Monographs Working Group
- Early June: Executive Committee approves final model

Videos on retention models

https://sites.google.com/a/blc.org/east-collection-analysis/videos-on-models



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For more info on survey results

- See https://sites.google.com/a/blc.org/east-collection-analysis/surveys-on-models

- Talk to Matthew Revitt [matthew.revitt@maine.edu] and Ruth Fischer [fischerr@oclc.org]

Retention model to allocation timeline

Task Name	Start Date	End Date	Assig… To
EC approves model or recommends final changes	06/06/16	06/06/16	EC
Retention commitments	06/06/16	08/16/16	
SCS creates proposed lists of retained titles for each EAST library	06/06/16	06/24/16	SCS
EAST libraries report back changes to SCS	06/27/16	07/29/16	Libraries
SCS modifies retention lists to remove library rejections	06/27/16	08/16/16	SCS

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Allocations workflows

https://www.youtube.com/watch?v=PcMexDeCTJU

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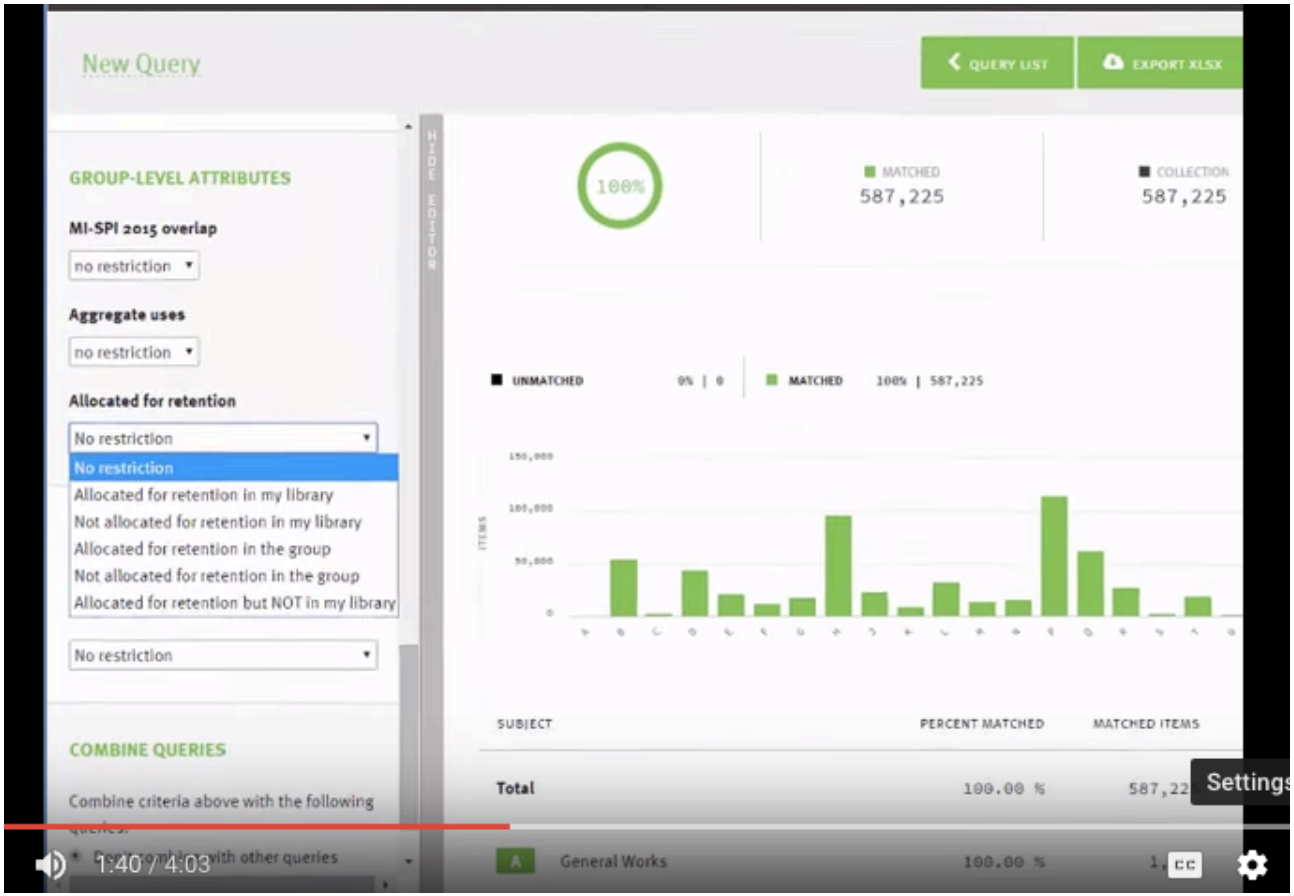

https://www.youtube.com/watch?v=PcMexDeCTJU

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Validation Sample Study #1

- **Sample study** to determine an "availability metric" to provide a rough estimate of the percentage of library holdings likely to be available (are accounted for)
- Building trust and support stakeholder buy in
- Each library received a list of 6,000 titles randomly selected from the in-scope records that were sent to SCS
- Each library checked the local ILS and shelves to determine availability, and perform a quick condition assessment of the items when they were physically available

Validation Sample Study #1

- **Thank You** to the Validation Working Group members and Validation Sample Study Coordinators!
- Training and outreach completed in February
- Libraries ran checks against local ILS (identify checked out materials)
- Data collection began in earnest week of February 22nd
- Completed in 9 weeks (April 22nd)
- Statistics consultant is currently performing analysis

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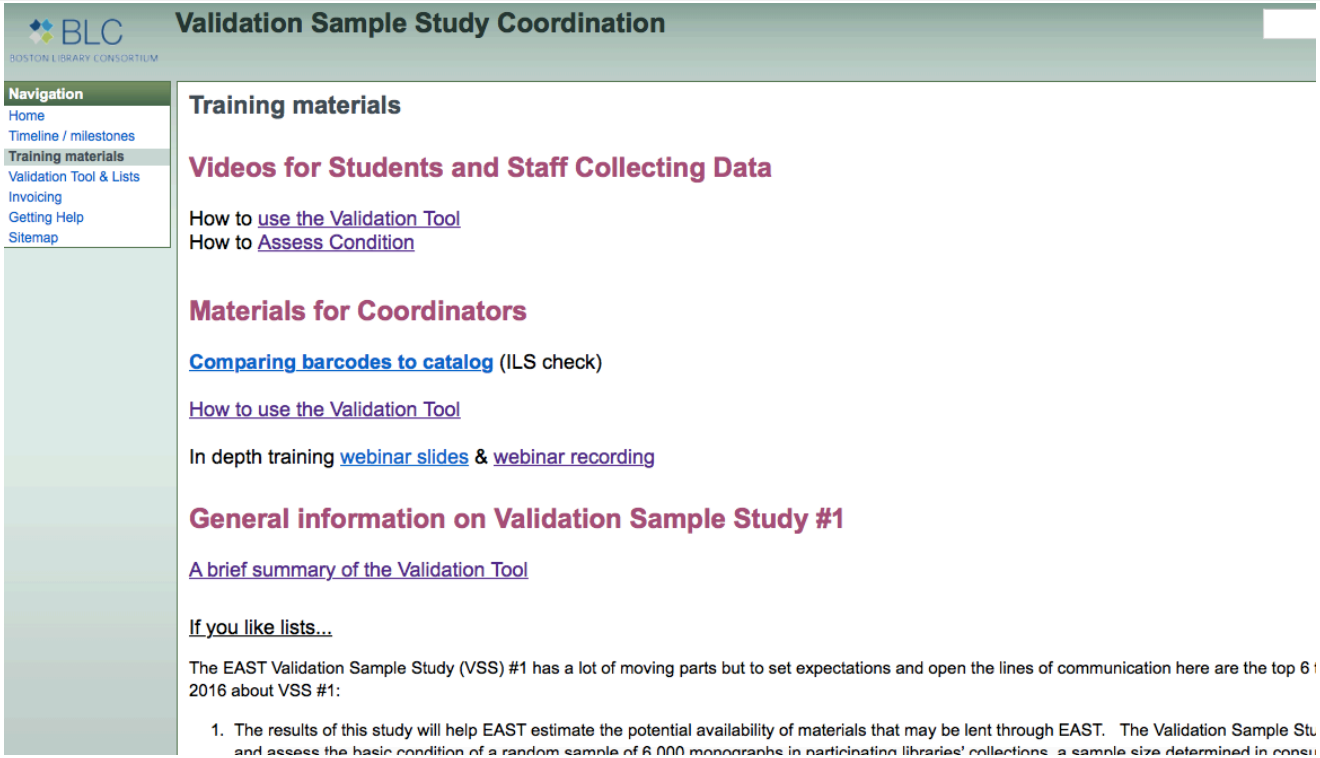
Staying on track on a tight schedule

Resources for Validation Sample Study Coordinators

https://sites.google.com/a/blc.org/validation-study-coordination/training-materials



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Validation Sample Study Coordination

Navigation
- Home
- Timeline / milestones
- Training materials
- Validation Tool & Lists
- Invoicing
- Getting Help
- Sitemap

Training materials

Videos for Students and Staff Collecting Data

How to use the Validation Tool
How to Assess Condition

Materials for Coordinators

Comparing barcodes to catalog (ILS check)

How to use the Validation Tool

In depth training webinar slides & webinar recording

General information on Validation Sample Study #1

A brief summary of the Validation Tool

If you like lists...

The EAST Validation Sample Study (VSS) #1 has a lot of moving parts but to set expectations and open the lines of communication here are the top 6 t
2016 about VSS #1:

1. The results of this study will help EAST estimate the potential availability of materials that may be lent through EAST. The Validation Sample Stu
and assess the basic condition of a random sample of 6,000 monographs in participating libraries' collections, a sample size determined in consu

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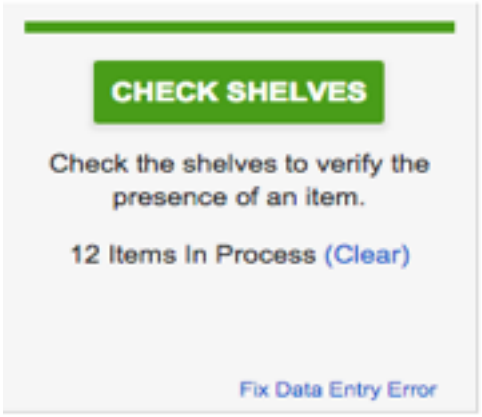
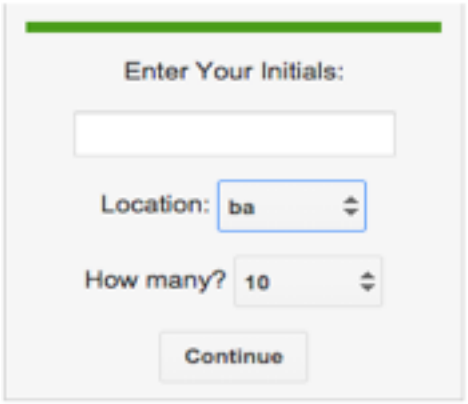
Introduction to the Validation Tool: Check Shelves





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Summary

# Checked	% Accounted For	Average Condition	% Poor	% Validated by Barcode	% In Circ
240,000 (100%)	97.0%	2.2 1=poor,2=average 3=excellent	10.0	87.1	2.3

Status	Definition	Counted As
Present	Catalog status reflects availability on shelf and item has been verified as present.	Accounted For / Available
CheckedOut	Catalog status reflects the item is in circulation.	Accounted For / Available
LMBO	Catalog status reflects the item is Lost, Missing, Billed or Otherwise not currently available.	Unaccounted For / Unavailable
NotOnShelf	Catalog status reflects availability but item was not found on shelf.	Unaccounted For / Unavailable

Policy and MOU Work

- Policy topics reviewed
  - Selection, retention, location, ownership
  - Access and delivery
  - Disclosure and Discovery
- Policy adoption
- Create Memorandum of Understanding (MOU) in summer to early fall 2016

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Other Ongoing Work of the EAST Team

- In discussion with OCLC on batch updating of WorldCat holdings record with final retention commitments
- Future planning for EAST – coordination with other regional and national programs
- Future planning for 2nd cohort of EAST libraries in FY18

EAST Major Milestones (2016)

- ✓Data extracts for collection analysis
- ✓GreenGlass rolled out
- ✓ Validation Sample Study #1
- Development of retention model (almost done!)
- Policy review and adoption (almost done)
- Executive Committee approves final model (early June)
- Retention proposals reviewed (late June through late July)
- Retention commitments finalized (by mid to late August)

Thank you!

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