Dismantling Silos to Build Robust Shared Print Projects

ACRL - NEC
May 13, 2016
Anna Perricci, EAST Project Manager
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Informal EAST audience census

Show of hands

• Are you affiliated with a library that is an EAST partner?

• Are you a member of the Monographs WG or Validation WG?

• Are you a Validation Sample Study Coordinator?

• Are you otherwise interested in EAST?
EAST is

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EAST teams

EAST leadership team

- Anna Perricci, EAST Project Manager
- Matthew Revitt, Shared Print Consultant
- Sara Amato, EAST Data Librarian
- Susan Stearns, Executive Director, Boston Library Consortium
- PIs on Mellon grant: John Unsworth (Brandeis) & Laura Wood (Tufts)

- Executive Committee (primary governance)
- Sustainable Collections Services (SCS)
- Monographs Working Group
- Validation Working Group
EAST has

• Stakeholders from 48 libraries, including members of other consortia & partnerships

• Access to a large data set in GreenGlass for collection analysis (containing over 16.5 million monographs)

• Preliminary policies drafted for a sustainable and robust shared print project

• Financial support from partners/members, the Mellon Foundation (supporting implementation phase) & the Davis Educational Foundation (collection analysis)

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The EAST Shared Print Collection

title sets
4,749,042

title holdings
16,573,071

Title sets held by one library in the group
50%
2,359,033 title sets

*Title sets with > 10 aggregate uses
20%
939,819 title sets

*Title sets represented in hathitrust
39%
1,865,115 title sets
1 or 2 holdings – 66%
3-9 holdings – 25%
10-19 holdings – 8%
20+ holdings – 2%
Uniqueness of EAST holdings
4/11 Proposed Retention Model

All Included Libraries
Retained Percentage: 36%
Retained Holdings: 6,003,283

Brandeis University
Retained Percentage: 36%
Retained Titles: 286,045

Criteria

LIBRARIES
Includes 36 of 36 Libraries

RETAIN ALL

EAST holdings
FEWER THAN 5

US holdings
FEWER THAN 40
(any edition)

Regional Large Academic Libraries holdings
FEWER THAN 5
(any edition)

CNY Retention Partners holdings
FEWER THAN 1
(any edition)
Fundamental discussions in modeling

• Retain only some or retain at least copy of every title?

• What is the local capacity for retention—does it or how much does it vary from one institution to another?

• Ultimately how does one form algorithms to meet the local needs in a way that is manageable and sustainable through EAST?
Survey 1 on retention models & key points

Do you believe EAST should commit to retaining at least 1 copy of every title currently owned within EAST libraries?
Survey 1 on retention models & key points

What do you believe is the MAXIMUM percentage of each library's titles that EAST can reasonably expect the library to retain?
Survey 2 on retention models included

My answers to questions in this survey

- Personal View
- Coordinated response
From a purely philosophical or theoretical vantage point, I/we support the concept of ‘unequal’ allocation of retention commitments, whereby some libraries could commit to retaining a higher than average percentage of their collection…
Third & final survey

- One response per library (via EAST Voting Member) [closed May 12]
  - 4/11 Retention Model is generally acceptable to my library
  - Maximum retention rate expected for your library
- Mid May: retention model recommendations finalized by Monographs Working Group
- Early June: Executive Committee approves final model
Videos on retention models

https://sites.google.com/a/blc.org/east-collection-analysis/videos-on-models
For more info on survey results

• See https://sites.google.com/a/blc.org/east-collection-analysis/surveys-on-models

• Talk to Matthew Revitt [matthew.revitt@maine.edu] and Ruth Fischer [fischerr@oclc.org]
## Retention model to allocation timeline

<table>
<thead>
<tr>
<th>Task Name</th>
<th>Start Date</th>
<th>End Date</th>
<th>Assign To</th>
</tr>
</thead>
<tbody>
<tr>
<td>EC approves model or recommends final changes</td>
<td>06/06/16</td>
<td>06/06/16</td>
<td>EC</td>
</tr>
<tr>
<td>Retention commitments</td>
<td>06/06/16</td>
<td>08/16/16</td>
<td></td>
</tr>
<tr>
<td>SCS creates proposed lists of retained titles for each EAST library</td>
<td>06/06/16</td>
<td>06/24/16</td>
<td>SCS</td>
</tr>
<tr>
<td>EAST libraries report back changes to SCS</td>
<td>06/27/16</td>
<td>07/29/16</td>
<td>Libraries</td>
</tr>
<tr>
<td>SCS modifies retention lists to remove library rejections</td>
<td>06/27/16</td>
<td>08/16/16</td>
<td>SCS</td>
</tr>
</tbody>
</table>
Allocations workflows

https://www.youtube.com/watch?v=PcMexDeCTJU

Allocation can be thought of as dealing cards, wherein each library is dealt its share of retention commitments.
https://www.youtube.com/watch?v=PcMexDeCTJU

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Validation Sample Study #1

- **Sample study** to determine an “availability metric” to provide a rough estimate of the percentage of library holdings likely to be available (are accounted for)
- Building trust and support stakeholder buy in
- Each library received a list of 6,000 titles randomly selected from the in-scope records that were sent to SCS
- Each library checked the local ILS and shelves to determine availability, and perform a quick condition assessment of the items when they were physically available
Validation Sample Study #1

• **Thank You** to the Validation Working Group members and Validation Sample Study Coordinators!

• Training and outreach completed in February

• Libraries ran checks against local ILS (identify checked out materials)

• Data collection began in earnest week of February 22\textsuperscript{nd}

• Completed in 9 weeks (April 22\textsuperscript{nd})

• Statistics consultant is currently performing analysis

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Staying on track on a tight schedule

Resources for Validation Sample Study Coordinators

https://sites.google.com/a/blc.org/validation-study-coordination/training-materials

Validation Sample Study Coordination

Training materials

Videos for Students and Staff Collecting Data

- How to use the Validation Tool
- How to Assess Condition

Materials for Coordinators

Comparing barcodes to catalog (ILS check)

- How to use the Validation Tool

In depth training webinar slides & webinar recording

General information on Validation Sample Study #1

- A brief summary of the Validation Tool

If you like lists...

The EAST Validation Sample Study (VSS) #1 has a lot of moving parts but to set expectations and open the lines of communication here are the top 6:

1. The results of this study will help EAST estimate the potential availability of materials that may be lent through EAST. The Validation Sample Study assessed the basic condition of a random sample of 6,000 monographs in participating libraries' collections, a sample size determined in

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Introduction to the Validation Tool: Check Shelves

CHECK SHELVES
Check the shelves to verify the presence of an item.
12 Items In Process (Clear)

Enter Your Initials:
Location: ba
How many? 10
Continue

AC75 .A7 2
[Articles] por German Arciniegas.
Present ☐ Not On Shelf ☐
31796004562050

AC75 .R67
[Articles] / por Joaquin Roy.
Present ☐ Not On Shelf ☐
31796101408595

Condition: (?)
Select
Excellent
Acceptable/Good
Poor
Condition: (?)
Select

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BOSTON LIBRARY CONSORTIUM
## Summary

<table>
<thead>
<tr>
<th># Checked</th>
<th>% Accounted For</th>
<th>Average Condition</th>
<th>% Poor</th>
<th>% Validated by Barcode</th>
<th>% In Circ</th>
</tr>
</thead>
<tbody>
<tr>
<td>240,000 (100%)</td>
<td>97.0%</td>
<td>2.2</td>
<td>10.0</td>
<td>87.1</td>
<td>2.3</td>
</tr>
</tbody>
</table>

### Status Definitions

<table>
<thead>
<tr>
<th>Status</th>
<th>Definition</th>
<th>Counted As</th>
</tr>
</thead>
<tbody>
<tr>
<td>Present</td>
<td>Catalog status reflects availability on shelf and item has been verified as present.</td>
<td>Accounted For / Available</td>
</tr>
<tr>
<td>CheckedOut</td>
<td>Catalog status reflects the item is in circulation.</td>
<td>Accounted For / Available</td>
</tr>
<tr>
<td>LMBO</td>
<td>Catalog status reflects the item is Lost, Missing, Billed or Otherwise not currently available.</td>
<td>Unaccounted For / Unavailable</td>
</tr>
<tr>
<td>NotOnShelf</td>
<td>Catalog status reflects availability but item was not found on shelf.</td>
<td>Unaccounted For / Unavailable</td>
</tr>
</tbody>
</table>

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Policy and MOU Work

• Policy topics reviewed
  • Selection, retention, location, ownership
  • Access and delivery
  • Disclosure and Discovery

• Policy adoption

• Create Memorandum of Understanding (MOU) in summer to early fall 2016
Other Ongoing Work of the EAST Team

• In discussion with OCLC on batch updating of WorldCat holdings record with final retention commitments

• Future planning for EAST – coordination with other regional and national programs

• Future planning for 2nd cohort of EAST libraries in FY18
EAST Major Milestones (2016)

- Data extracts for collection analysis
- GreenGlass rolled out
- Validation Sample Study #1
  - Development of retention model (almost done!)
  - Policy review and adoption (almost done)
  - Executive Committee approves final model (early June)
  - Retention proposals reviewed (late June through late July)
  - Retention commitments finalized (by mid to late August)
Thank you!

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