



***Northeast Regional  
Shared Print Planning Meeting***

***Tuesday July 9, 2013***

## ***Agenda***

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9:30 am	Welcome and overview of the project
9:45	Context: Overview of shared print programs and report on survey responses
10:15	Break
10:30	Table Discussion: Operating principles (content, location, availability, others)
12:00	Lunch (provided)
1:00 pm	Table Discussion: Organization structure and business models
2:30	Break
2:45	Discussion: Other questions, issues, identify models for further study
3:15	Breakout Discussions: Models and working group tasks
4:15	Next steps (Neal Abraham and Chris Loring)
4:30	Adjourn

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## ***Overview of the Project***

An 18-month planning activity to identify detailed strategies and business models for developing and managing regional print collections of monographs (and, along the way, other library print materials) and to document willingness of libraries to participate in one or more of the models, funded by an Officer's Grant from The Andrew W. Mellon Foundation with matching contributions (for travel and staffing).

Website:

<https://www.fivecolleges.edu/libraries/regionalproject>

## ***Project Team***

### Project Directors

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### Project Coordinator

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### Planning Consultant

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### Steering Committee

Bryn Geffert, Amherst College  
Clement Guthro, Colby College  
W. Lee Hisle, Connecticut College  
Bart Harloe, ConnectNY  
Neal Abraham, Five Colleges, Inc.  
Matthew Sheehy, Harvard University  
Terry Snyder, Haverford College

Christopher Loring, Smith College  
Peggy Seiden, Swarthmore College  
Laura Wood, Tufts University  
Scott Kennedy, University of Connecticut  
Jay Schafer, University of Massachusetts  
Amherst  
Ian Graham, Wellesley College

## ***Project Timeline***

<b>Time Period</b>	<b>Activities</b>
Spring 2013	Invite participation
Spring/summer 2013	Survey of needs and interests
	Kick-off planning meeting July 9
Fall/winter 2013	Complete inventory of campus interests (followup to survey)
	Convene working groups to generate recommendations
Spring 2014	Convene Summarizing Panel to develop specific proposal(s)
Summer 2014	Final group meeting
Fall 2014	Final report and plan

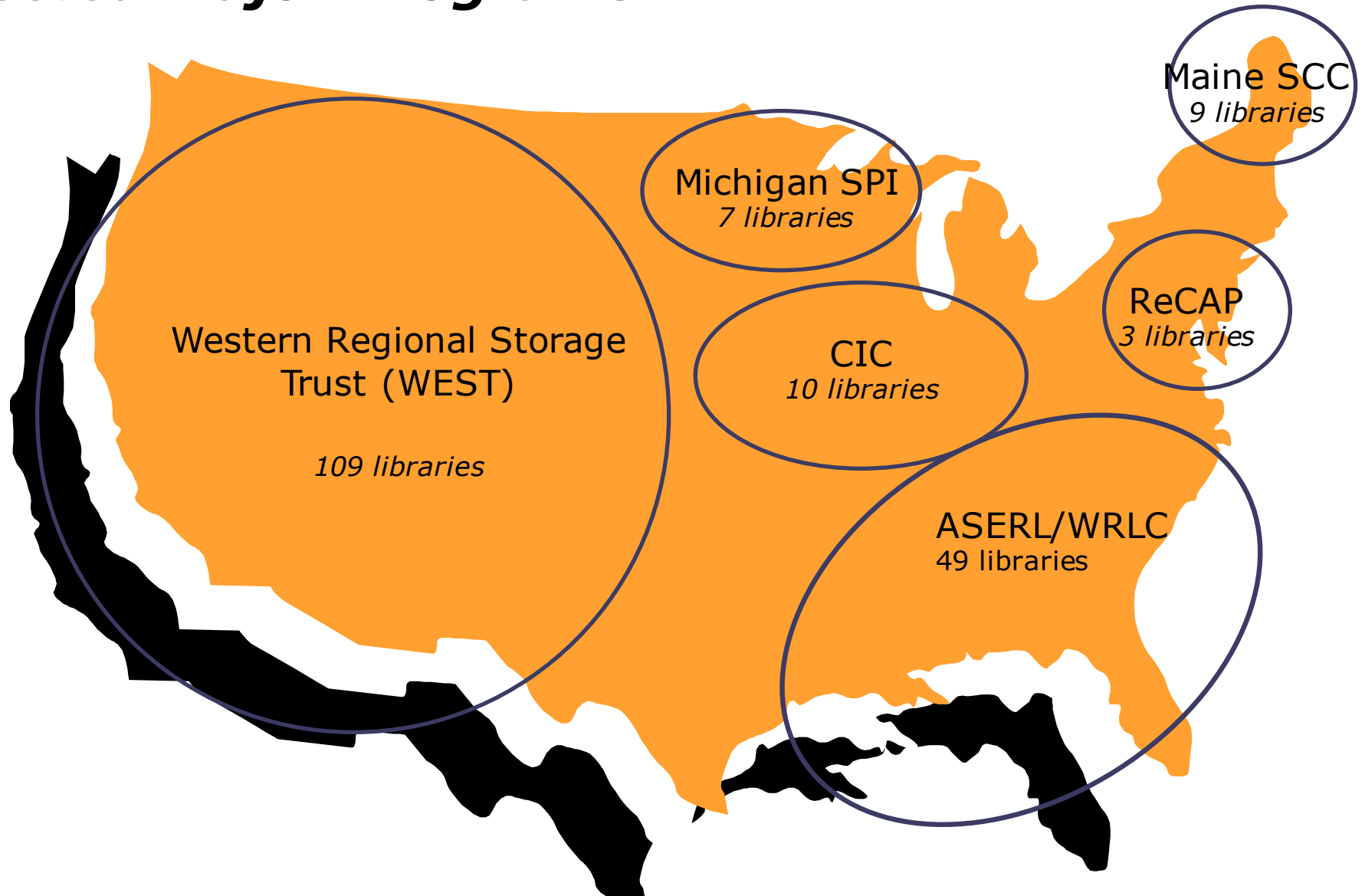
***Shared Print Programs in North America:  
Going Main Stream  
and  
Picking Up Steam***

**Lizanne Payne  
Shared Print Consultant  
lizannepayne03@gmail.com**

## ***What is a Shared Print Program?***

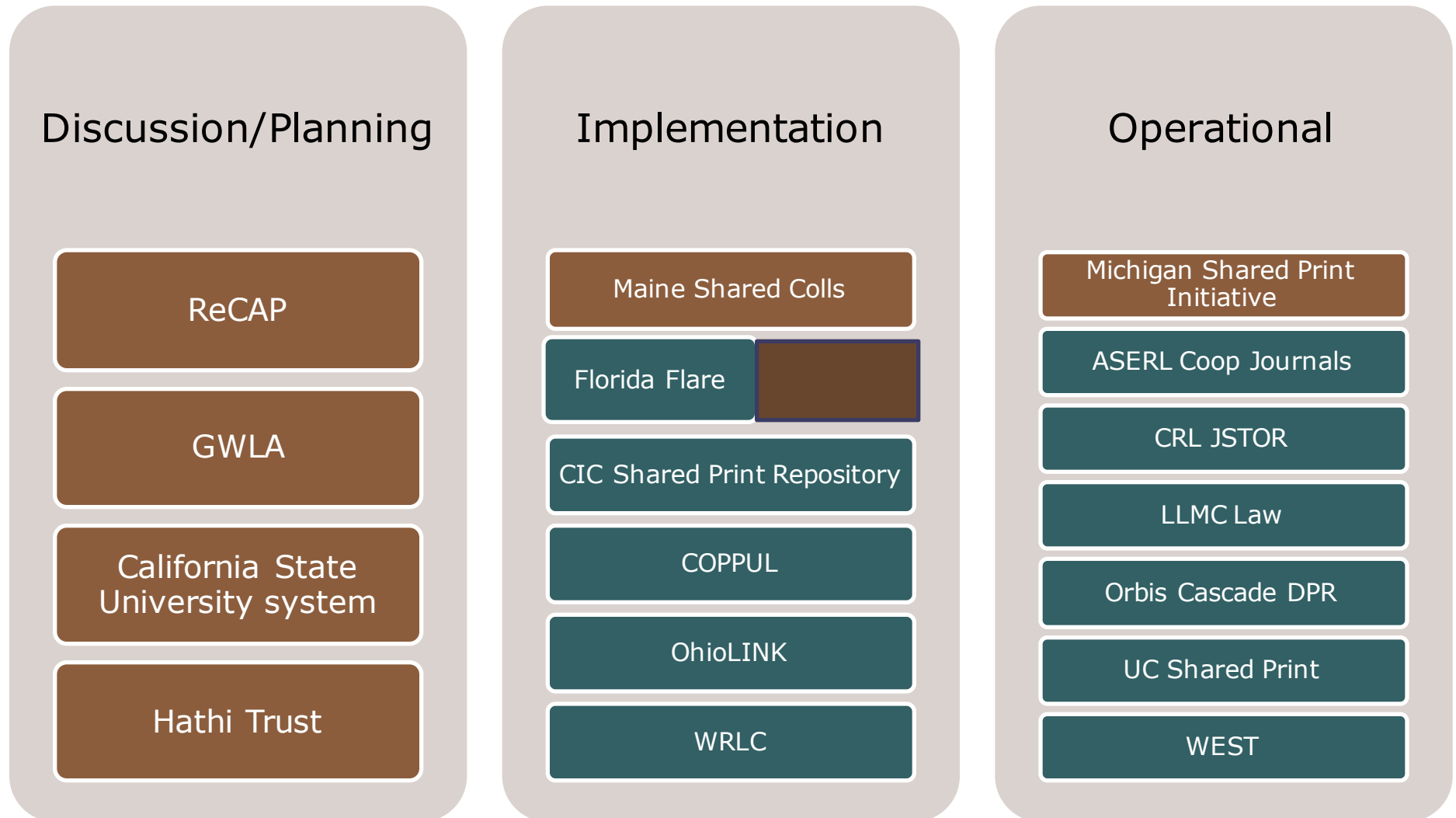
- Not just resource-sharing
- Not just a shared library storage facility
- Key factor is **retention agreement**
- Commitment to partners to retain certain holdings for a specified time period

## ***Selected Major Programs***





## Moving from Journals to Monographs (2013)



Monographs



Journals or other serials

*partial list*

## ***Monographs are the New Frontier***

- Different selection issues:
  - Consolidate the common or preserve the rare?
  - Collection analysis rather than a priori selection
- Different delivery issues:
  - Searchers more likely to want full print version
- Different space recovery issues:
  - How to make monograph deselection cost-effective

## *Shared Monograph Projects*

### Maine Shared Collections Cooperative (SCC)

- Held in 1 or 2 MSCC libraries, pub prior to 2003, other considerations
- Collection analysis by SCS
- Over 1 million titles in 9 libraries identified for retention

### Michigan Shared Print Initiative (SPI)

- Unique titles and held by more than 2
- Collection analysis by SCS
- About 750,000 titles in 7 libraries identified for retention
- Some deselection under way

### ReCAP Shared Collection

- Monographs already held at ReCAP storage facility, some exclusions
- Overlap analysis by OCLC Research
- As many as 5 million titles could be included

## ***We Are at the Beginning of the Wave***

What's next?

- Large-scale shared monograph collections take off
- Libraries add critical mass of shared print records to WorldCat
- Libraries gain experience with access and delivery
- Libraries reclaim collection space AND preserve print

# ***Northeast Regional Shared Print Program***

## ***Survey Results***

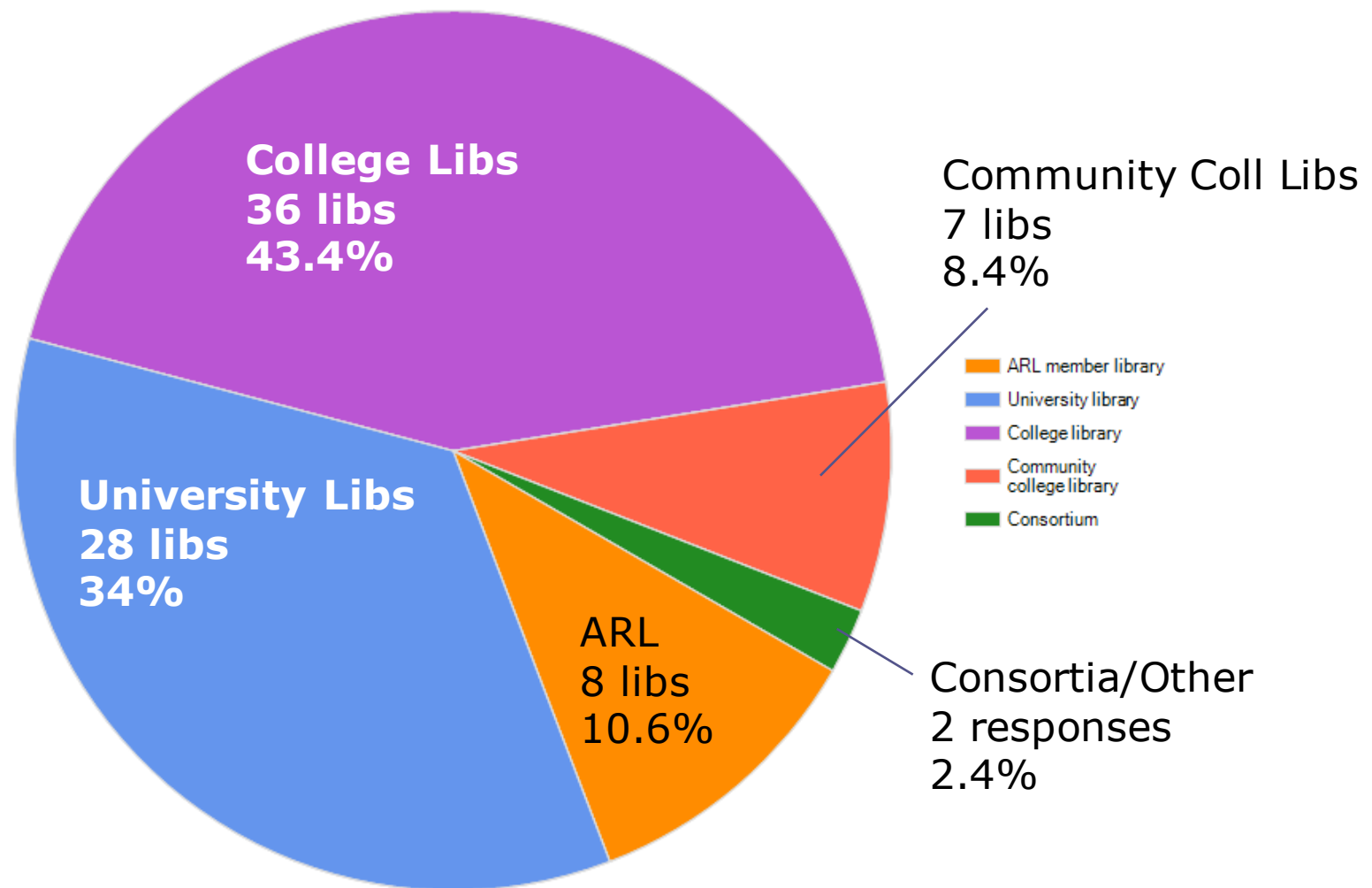
A web survey of library interest in shared print collection management solutions, particularly for print monographs

138 institutions invited to participate

85 institutions responded to survey (87 responses)

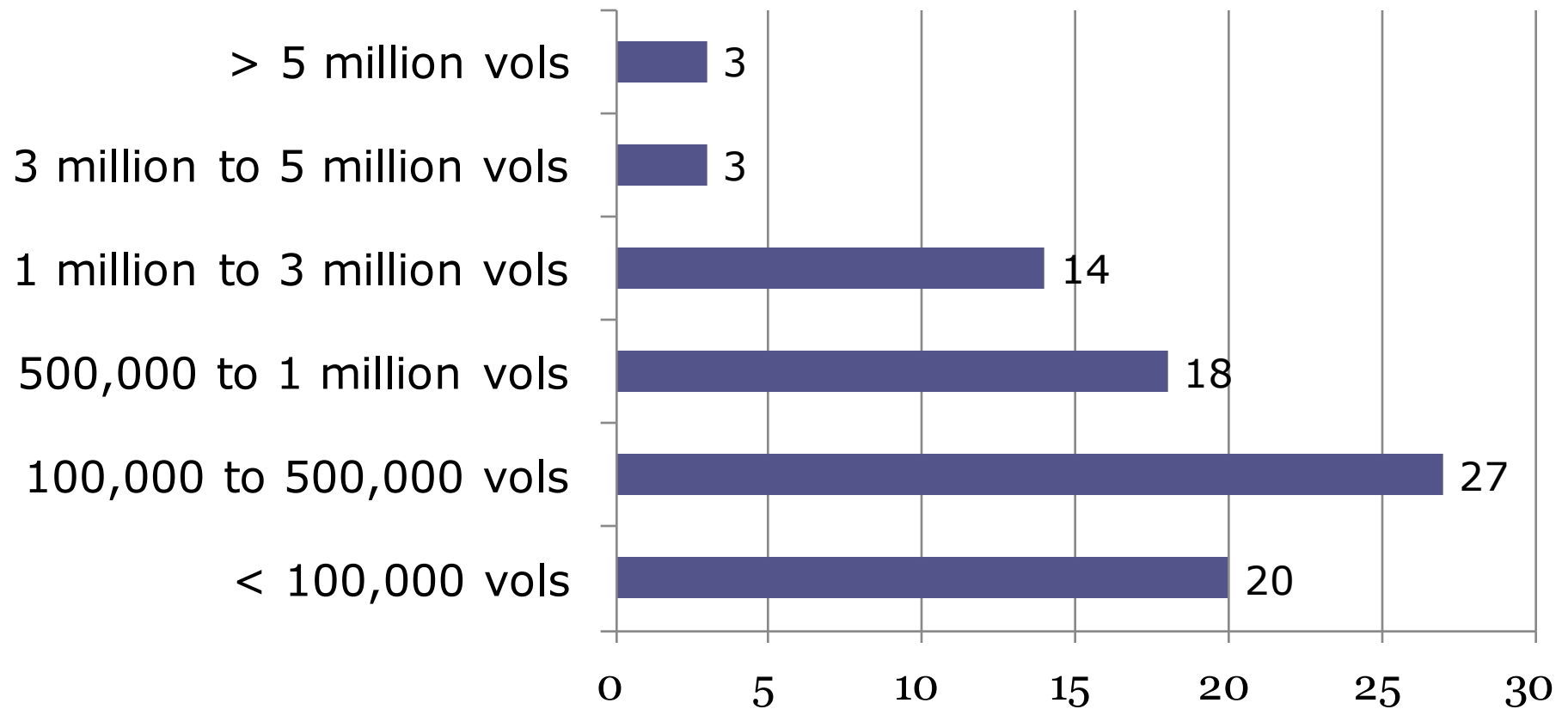
## *Responses by Type of Institution*

n=85



## *Responses by Collection Size*

**n=85**



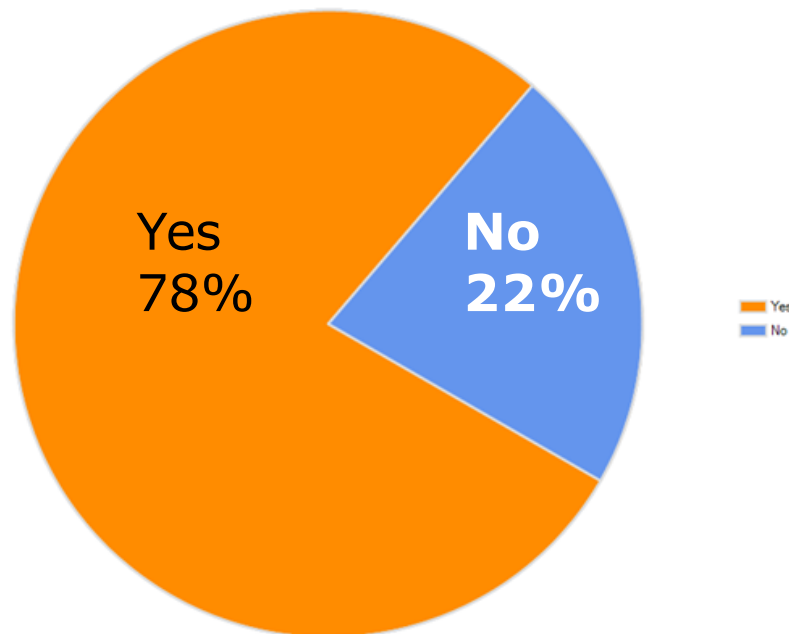
## ***Shared Print Participation & Interest***

About 34% of respondents said they are participating in (or at least discussing) a shared print program in the list below:

- Five Colleges Library Depository
- Maine Shared Collections Strategy
- Boston Library Consortium (BLC) Science Indexes
- ConnectNY Shared Print Trust
- Dartmouth-Brown legacy journal project
- CTW consortium last copy policy
- PALCI journal retention project



## ***Plans to Reduce Print Monograph Holdings?***

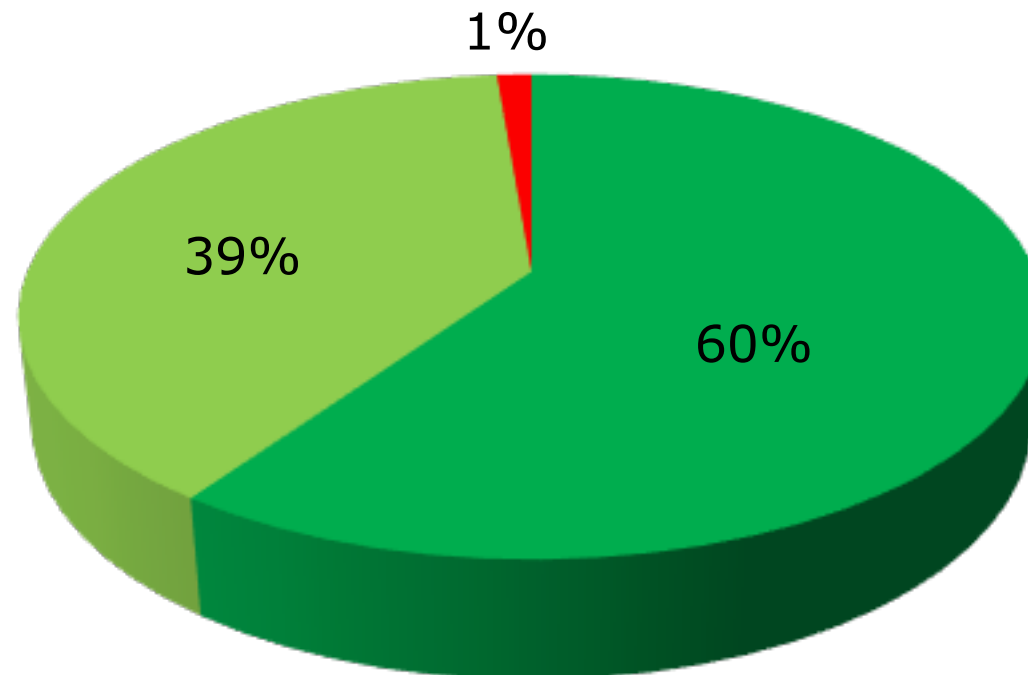


### Selected survey comments:

- Reduce by 20% over the next 5 to 7 years
- Reduce by 25% by July 2014
- Reduce 25% by 2017
- Reduce by 1/3 in 2-5 years
- Reduce 10% in next 3 years
- 32% reduction in stacks footprint this year
- 60,000 volumes this year

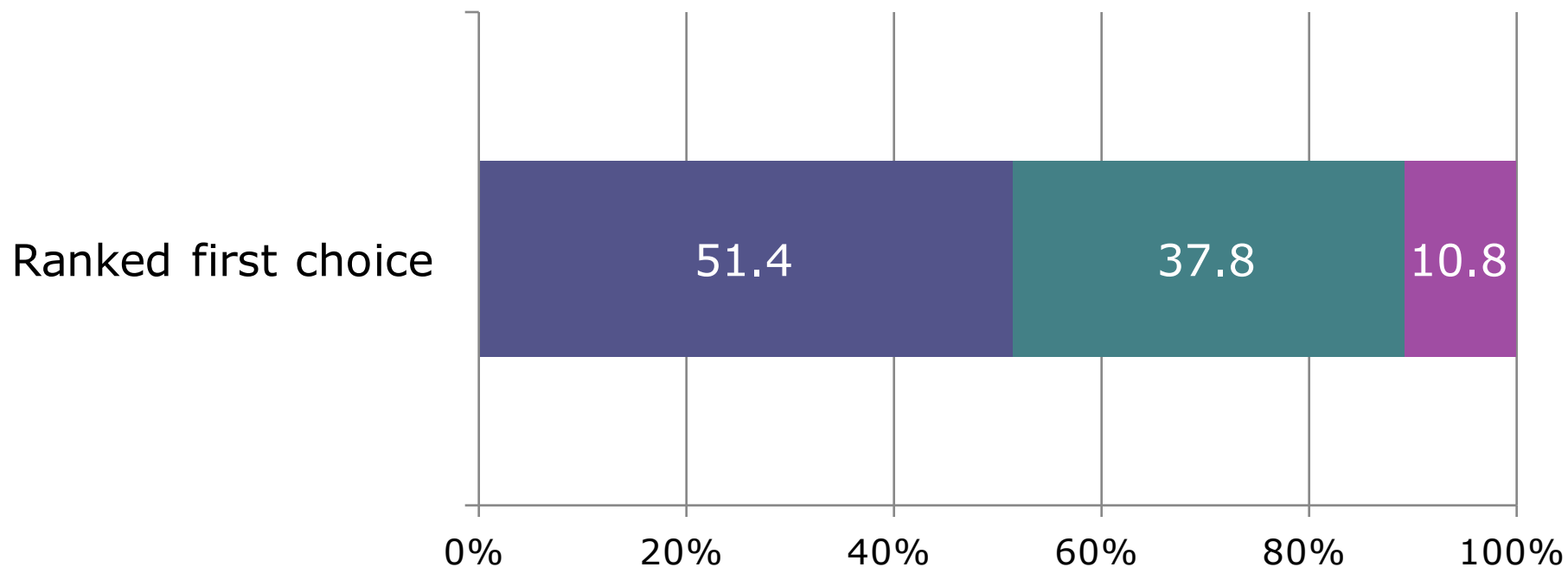
## ***Interest in a Northeast Regional Shared Print Program***

**n=87**



■ Very interested    ■ Somewhat interested    ■ Not interested

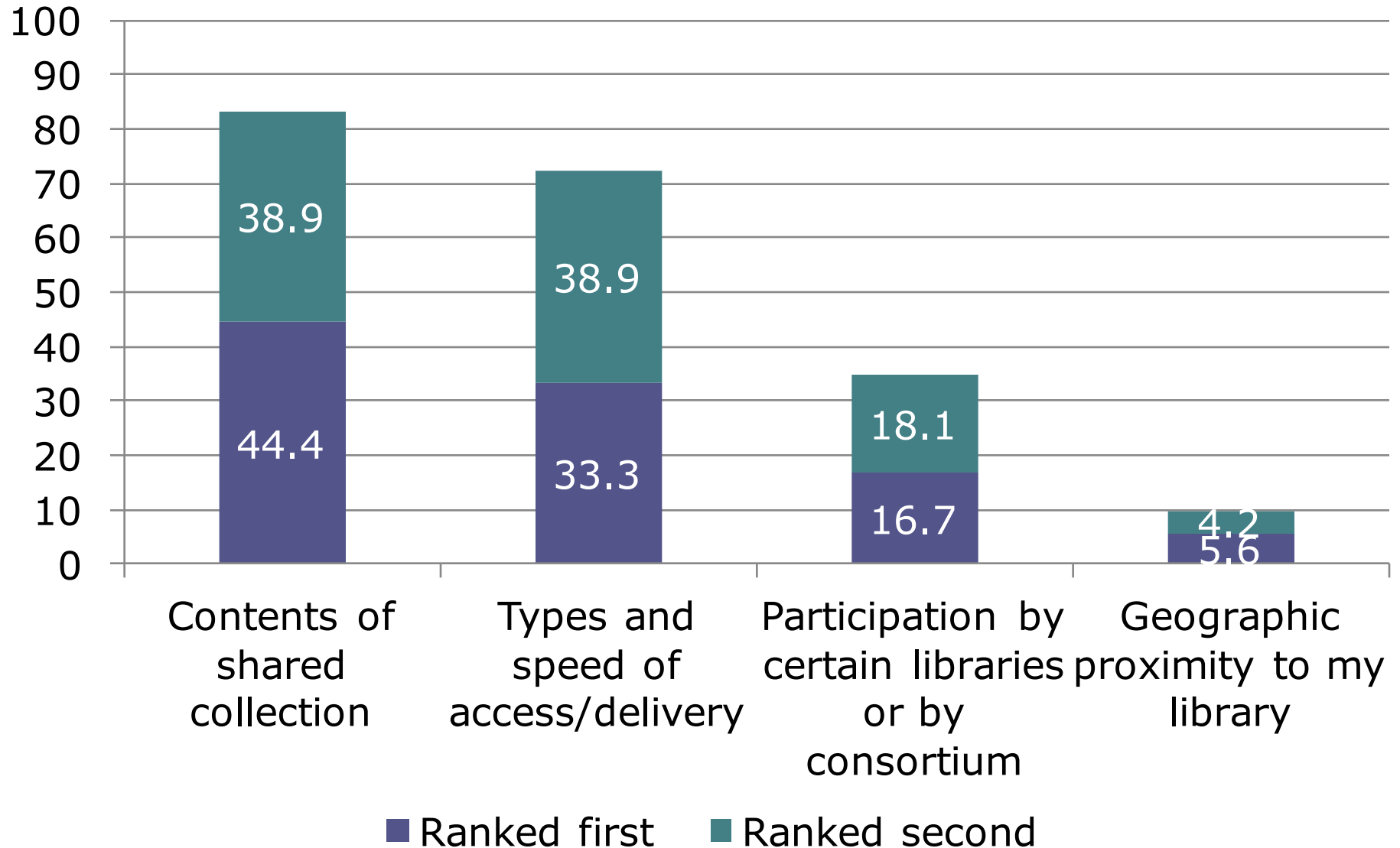
## ***Primary Goals for Northeast Regional Shared Print Program***



- Reduce duplication of widely-held monographs
- Preserve rarely-held monographs
- Provide storage space for privately-held library collections

## ***Biggest Factors in Participation***

Per cent



## ***Questions, Comments?***

## ***Break***

## ***Upcoming Discussions***

- Operating principles: Content, selection, location, others
- Administration: Organization structure and business model

## ***Decisions: Operating Principles***

### **Content & Selection**

- What kinds of materials are retained?
- How are items chosen?

### **Collection Locations**

- Centralized or Distributed?
- Storage facilities, libraries, or both?

### **Availability**

- Dark archive or accessible collection?
- Access/Delivery methods

### **Ownership & Retention**

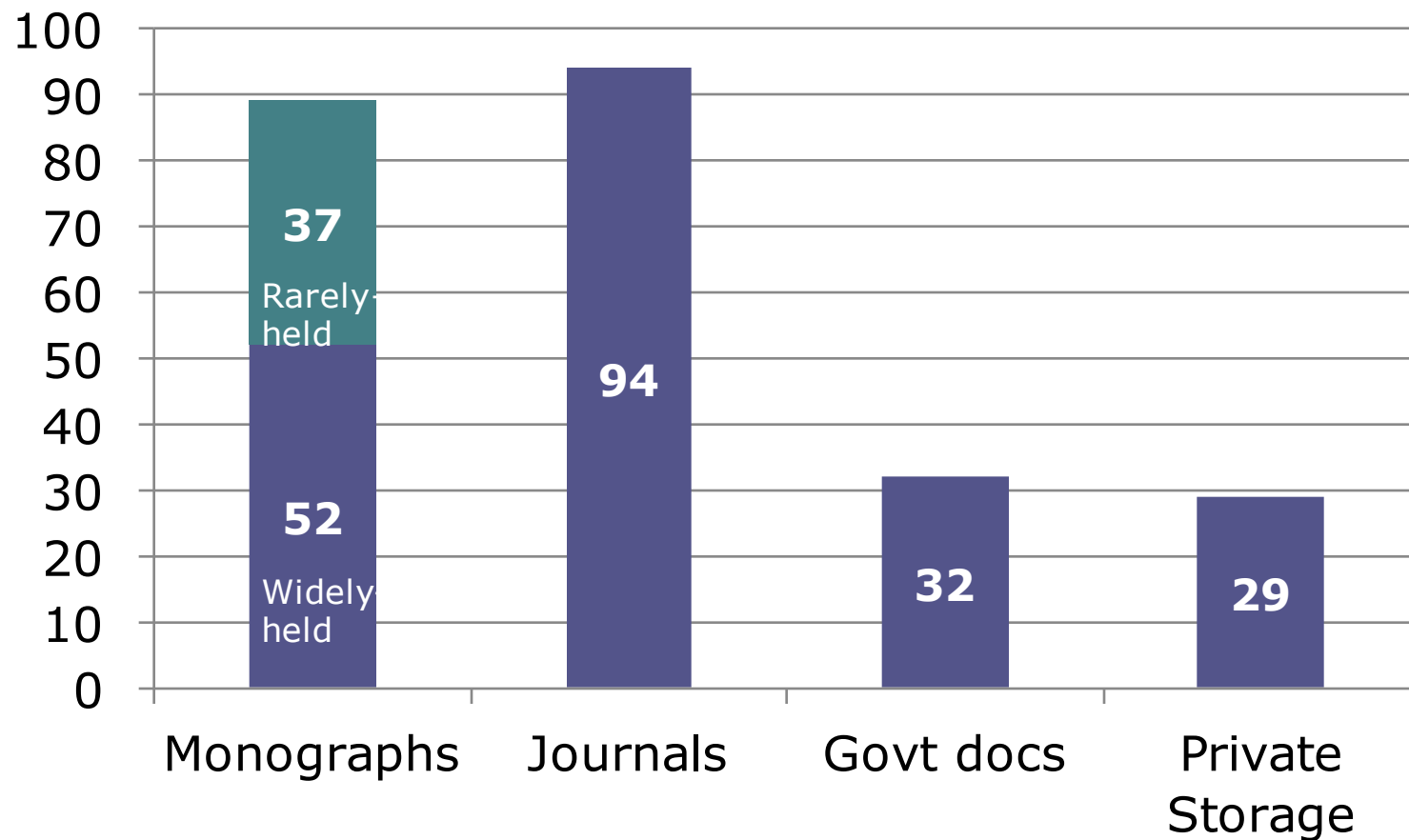
- Original owner, new holder, consortium?
- Perpetual, 25 years, 10 years, unspecified?

### **Validation**

- Review for completeness, condition
- Volume, issue, page, none

## *Survey responses: Preferred Content*

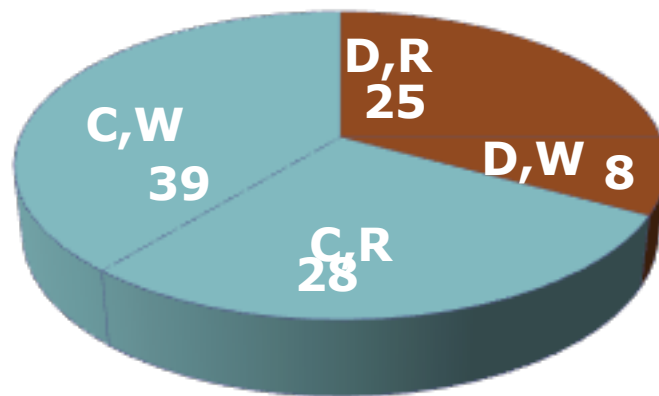
### Percent Interested



## ***Monographs: Consolidate the common vs preserve the rare?***

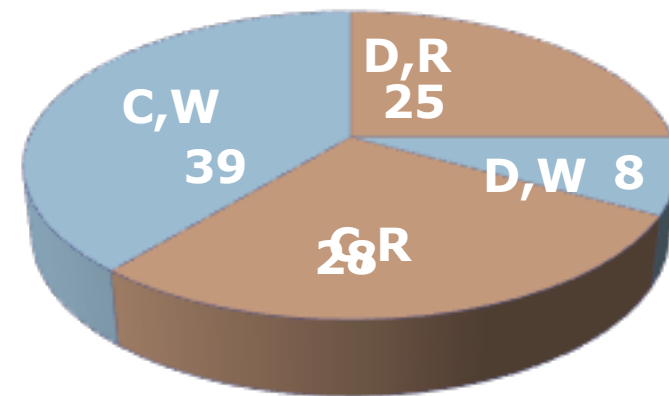
**“Approach Most Likely to Attract Financial Support”**

**Central vs Distributed**



- Distributed rarely-held
- Distributed widely-held
- Centralized rarely-held
- Centralized widely-held

**Widely-held vs Rarely-held**



- Rarely-held, distributed
- Widely-held, distributed
- Rarely-held, centralized
- Widely-held, centralized



## ***Selection: Which Specific Holdings to Retain?***

- Shared journal programs usually identify titles a priori by publisher (e.g. JSTOR)
- For monographs, identifying “widely-held” or “rarely-held” requires comparing library collections
  - How important is edition?
  - How important is digital version (e.g. Hathi Trust)?
  - How many copies are enough?
- De facto options:
  - Last copy
  - Holdings already in storage facilities

## ***Straw Vote***

- Widely-held monographs?
- Rarely-held monographs?
- Last copy monographs?
- Monographs already in storage facilities?
- Journals or serials?

## ***Availability: Who has access?***

Dark or light archives?

- Almost all current programs are “light”
- Dark archive journal programs: PALCI, Minnesota (JSTOR), UC and Harvard (JSTOR)

Do members have special access privileges, or not?

- Almost all provide access within and outside the membership via ILL, with no special privileges

## ***Ownership and Retention Period***

- Original owner, new holder, consortium?
  - In most programs, ownership stays with original owner (simpler)
  
- Perpetual, 25 years, 10 years, other?
  - Borrowers often want longer, Holders often want shorter
  - Most commit to a specified time period

## ***Validation (Condition Review)***

- Critical for preservation and libraries' dependence on these volumes? Or, too difficult and expensive?
- Choose the best copy? Or, record problems for possible replacement?
- If reviewed, at what level? Volume, issue (for journals), page?

## ***Table Discussions: Operating Principles***

Each table try to identify recommendations. We are trying to eliminate ideas with little/no support. There will be future study and consideration of all these details.

- Content: Widely-held monographs, rarely-held monographs, journals, last copy monographs, existing stored volumes, other
- Location: Centralized or decentralized
- Availability: Dark archive or available
- Ownership: Original owner or transfer ownership
- Retention: Indefinite or stated time period (how long?)
- Validation: What level or none

***This is a planning exercise, not a commitment  
Alternative reports allowed***

***LUNCH***

## ***Decisions: Administration***

### Organization Structure

- Existing or new consortium?
- Federation?
- Informal agreement, formal MOU?

### Business Model

- What costs are involved?
- Who supports costs?
- Sustainability?

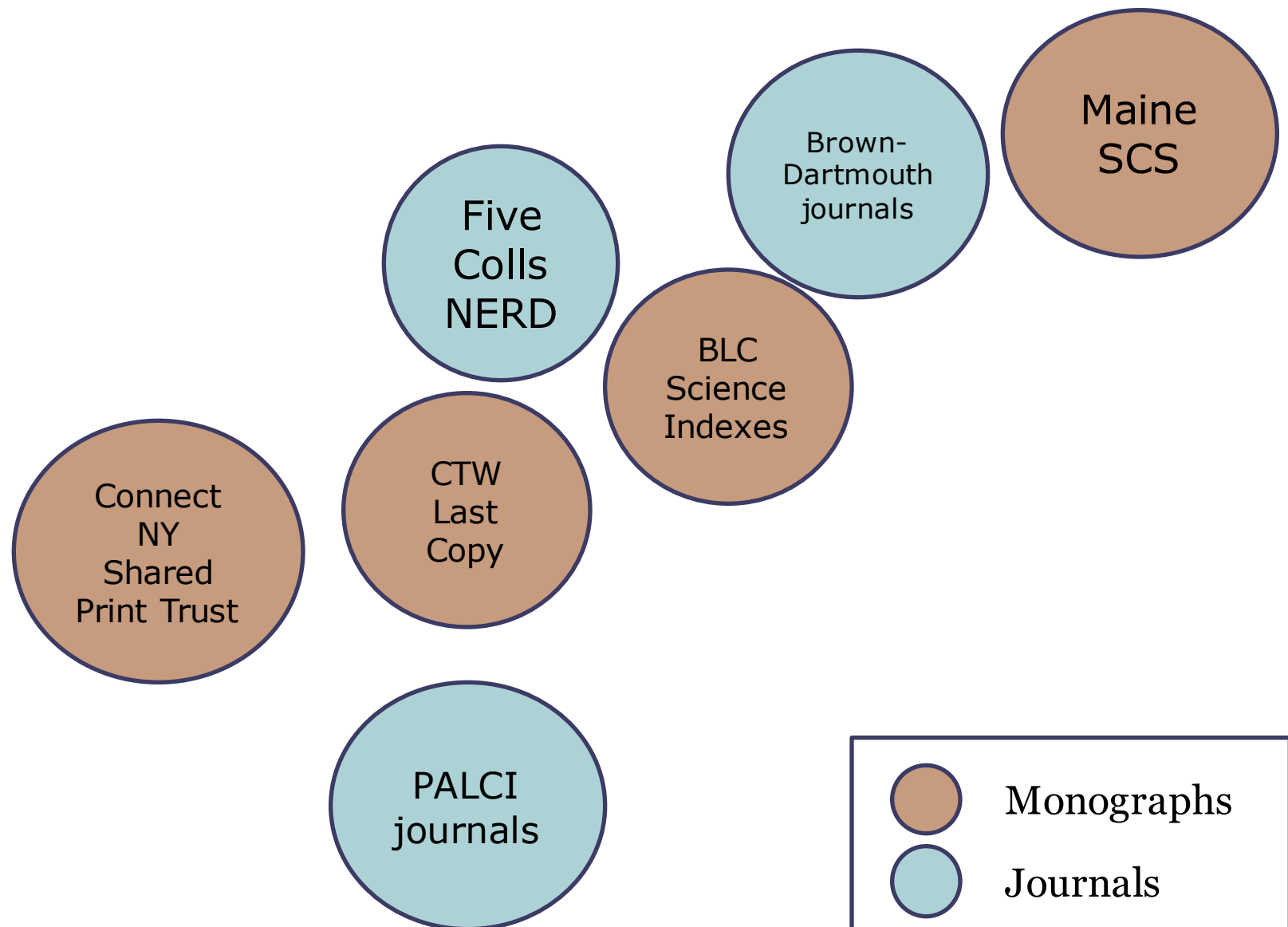


## ***Organization Structure***

How to organize and manage:

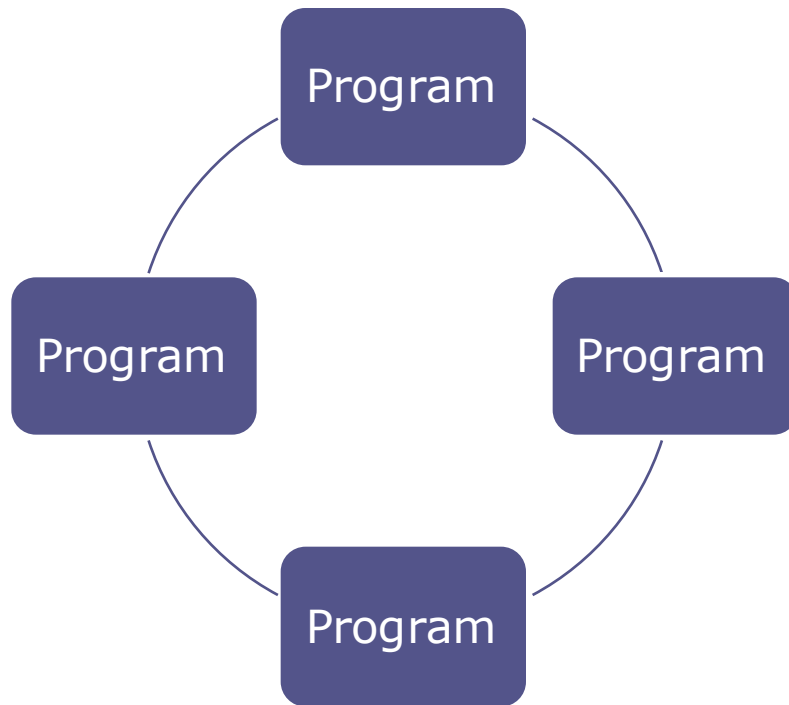
- Membership
- MOU and service standards
- Project management
- Interinstitutional communication
- Participation fees if any

## *Existing Northeast Regional Programs*

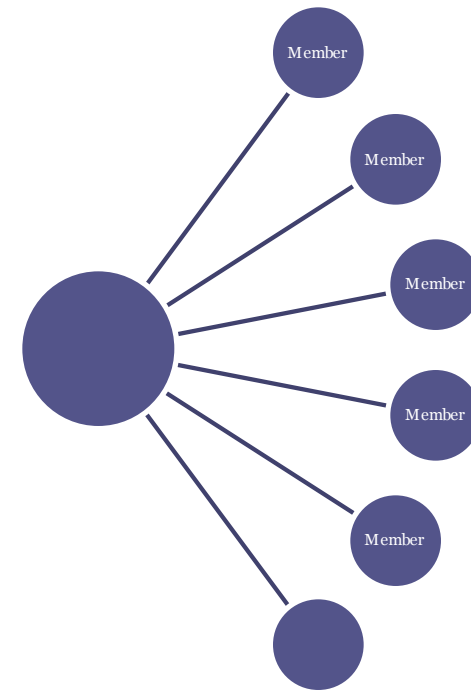


## Options for Organization Structure

Federation of existing programs?



Consortium manages all?\*



\* Or, possibly, all those not already affiliated

## ***A Word to the Wise***

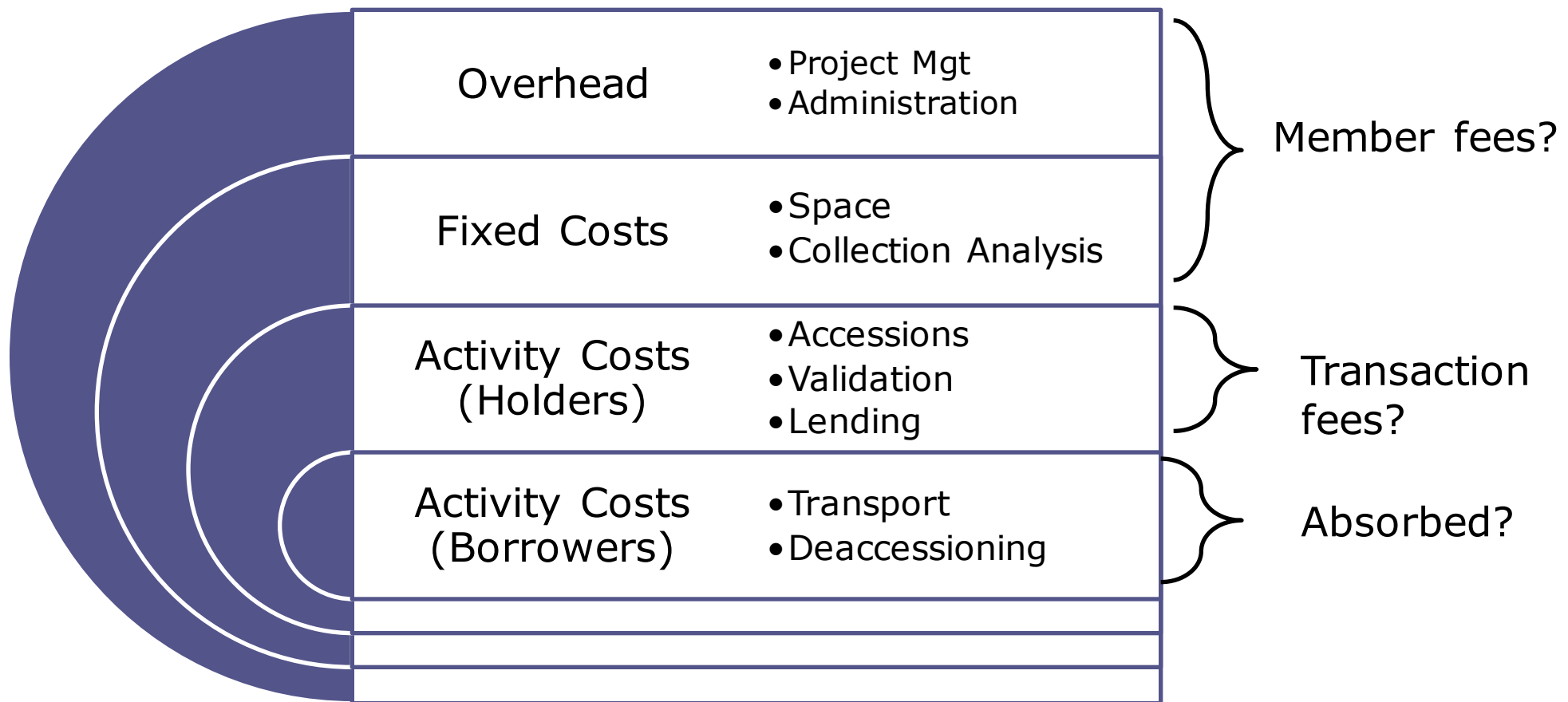
“Data scales more easily  
than communications and decision-making.”

*Rick Lugg  
Sustainable Collections Services, Inc.  
Shared Print Monographs Preconference  
June 27, 2013*

## ***Straw Vote***

- Federation?
- Existing consortium?
- New organization?
- Cover only unaffiliated libraries?

## ***Business Model: What are the costs and who supports them? \****

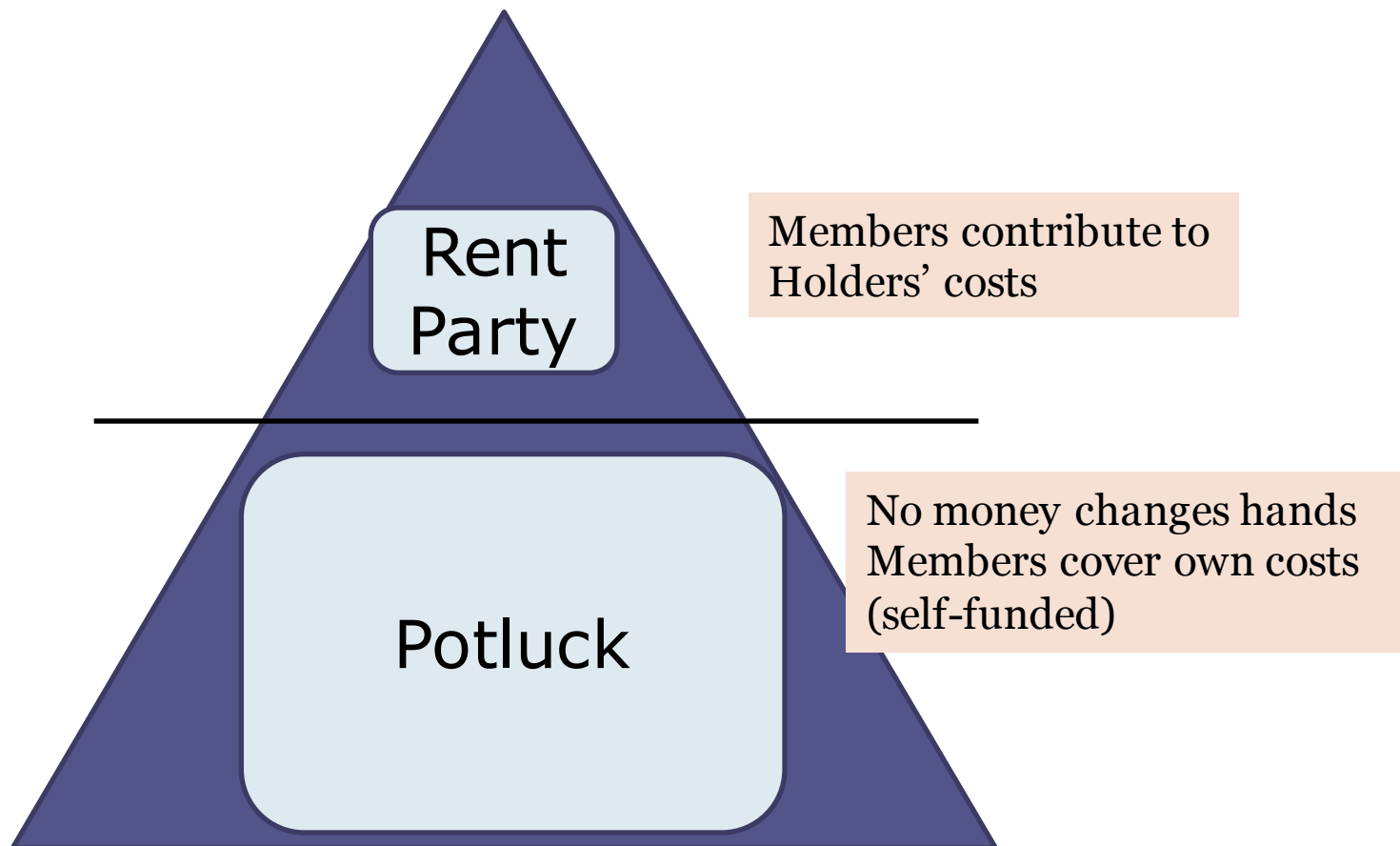


\* Grant funding may cover some costs, for a specified period

## ***How to Promote Sustainability?***

- Encourage Holders to participate long-term
- Encourage members to support Holders
- Fund the activities deemed critical (Collection analysis? Validation?)
- Discourage free riders – or find ways to accept them

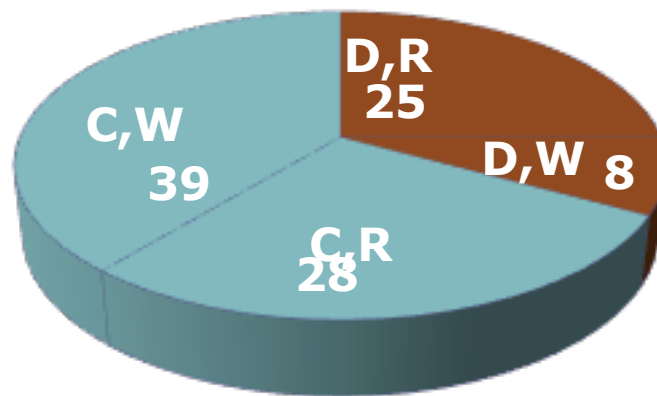
## ***Common Business Models***





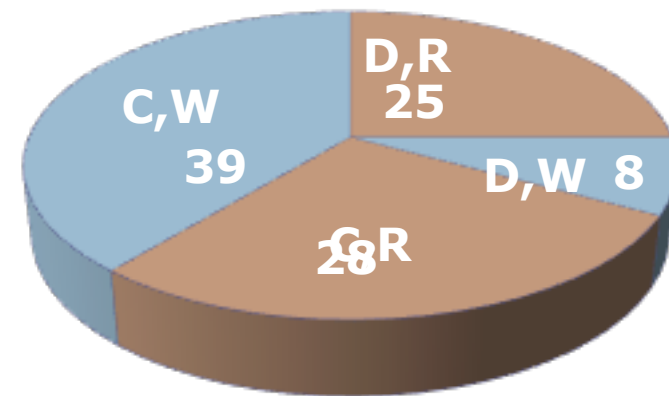
## ***Approach Most Likely to Attract Financial Support***

### **Central vs Distributed**



- Distributed rarely-held
- Distributed widely-held
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- Centralized widely-held

### **Widely-held vs Rarely-held**



- Rarely-held, distributed
- Widely-held, distributed
- Rarely-held, centralized
- Widely-held, centralized

## ***Table Discussions: Administration***

Each table try to identify recommendations.

Organization structure:

- Federation of existing programs?
- Existing consortium take lead?
- New organization?
- Do we need a new name for this effort? Distinguish from New England Regional Depository (NERD)

Business model

- Self-funded or member fees?
- Activities worth paying for?

***This is a planning exercise, not a commitment  
Alternative reports allowed***

## ***Other Planning Considerations***

Are there other issues not yet raised?

Concerns to be explored further?

Points to remember for later stages of planning?

# ***Breakout Discussions: Models and Working Groups***

## Models for discussion

1. Widely-held monographs
2. Rarely-held or last-copy monographs
3. Journals or serials
4. De facto storage facility collections
5. Private storage space for individual library collections

***Thank you***

***and***

***Next Steps***

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