

# Shared Print Monograph Summit: An Update

PAN Forum

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BOSTON LIBRARY CONSORTIUM



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# What/Who/When/Where....Why?

- A summit sponsored by EAST and funded by Mellon
- Program staff from North American shared print programs – focus on monographs
- Other thought leaders, funders – for details see the Summit site - <https://sites.google.com/a/blc.org/summit/>
- April 5-6, 2018 in Boston
- Focus on collaboration and coordination
- Active engagement, remove barriers to collaboration, make active commitments to work together, chart a path forward

# The Two Days

- Maureen Sullivan, Facilitator
- Jeff Kosokoff, Recorder
- Combination of large and small group sessions
- Focused on a set of key questions:
  - What are we trying to accomplish with collaboration?
  - What are the challenges and opportunities?
  - What are the essential components to enable collaboration?
  - What do these components suggest are the most critical priorities?

# Key Priorities

- Shared open data on retention commitments
- Research on risk and related issues
- Establishing community standards and compliance
- Connecting online availability/access to retention
- Federated organizational structure
- Developing narratives on the value of shared print for various audiences and stakeholders

# The Working Groups

- Organizational Structure – convened by Kirsten Leonard, PALNI
- Shared Open Retention Data – convened by Lizanne Payne, HathiTrust
- Resource Sharing – convened by Doug Brigham, COPPUL
- Standards – convened by Mei Mendez, EAST
- Risk Research – convened by Ian Bogus, ReCap
- Communications – convened by Galadriel Chilton, Ivy Plus Libraries

# Organizational Structure Working Group

**Charge:** The purpose of this working group is to recommend an organizational structure to support collaboration among shared print monograph initiatives in North America by coordinating the long-term preservation, accessibility and integrity of monographic resources.

## **The Working Group will:**

Create a report recommending a organizational structure that supports collaboration and resource sharing that will be delivered to the community at the next summit.

## **Deliverables:**

Recommend an interim advisory and reporting structure to support the working groups to do their work

Recommendation of an ongoing organizational structure to the collaborative group of participants

## **Time Frame:**

Date of preliminary report: EAST Summit Part 2

# Organization Guiding Principles: Some Initial Thoughts

- Supports advancing the collaboration & coordination of shared print
- Support prioritization, focus and coordination across working groups
- Identify infrastructure & operating practices to support the WG
- Transparent, inclusive, lightweight
- Flexible to adapt to changing stages and shifts in priorities

# Organization Guiding Principles: Some Initial Thoughts (con't)

- Cost-effective: contain costs to align with value
- Considers the relationship to local shared print programs including funding support & staffing
- Considers a means of leveraging the collective will for lobbying efforts



# Shared Open Retention Data Working Group

- Focus on shared open record of retention commitments with cost-effective ways to participate and the ability to analyze. Transparency and openness of collective data paramount.
- The Working Group conducted these activities:
  - Developed an inventory of where/how shared print monograph groups are currently recording retention data (<https://bit.ly/2JhzmC9>)
  - Developed a draft document “Use Cases for Shared Open Retention Commitment Data” (<https://bit.ly/2JxaqpN>)
  - Prepared recommendations for the Summit group

# Recommendations

## **Primary recommendations:**

- Explore a separate interim or bridge solution for an open shared print data repository pending longer-term development by OCLC

## **Steps:**

- Complete the Use Case document and identify priorities
- Invite interested organizations to respond, describing their current and near-term functional capabilities and outlining any membership or business case issues
- Present results to the Summit at the next meeting. This would be a preliminary exploration; significant additional work would be needed to implement any solution.

# Resource Sharing Working Group

- Began by surveying existing programs to:
  - Understand current policies and practices for resource sharing within and across programs
  - Investigate opportunities for deeper collaboration
- Data showed that Resource sharing as a set of activities is well established at the both the institutional and consortial levels. However, it remains fairly de-centralized.
- Little or no standardization on service expectations
- Few written best practices
- Just beginning to consider tracking statistics to understand the impact of shared print

# Opportunities for further work

- Encourage organizations to make their policies and practices more readily available
- Encourage more standardization in resource sharing
- Encourage development of best practices for
  - Service times
  - Policies
  - Statistics
  - Use of OCLC codes for retained monographs
- Examine whether there is an appetite in the community for coordination of best practices / principles.

# Standards Working Group

- **Charge:** The Standards Working Group will explore the possibility of establishing a group that will create (and share) community standards and perhaps even certify compliance and define levels (cf. LEED). Standards could include levels of validation, storage conditions, digitization, rareness/scarcity, optimal number of copies for various kinds/types of books, services based on retained print, national print retention rightsizing, data quality (not only for bibs, focus on metadata for holdings, access, and retention commitments).

# Recommendations

- Create a new working group, composed of individuals active in the following categories:
  - Specialists in institutions involved in shared print (metadata, resource sharing, collection management?)
  - Shared print program representatives (i.e., folks like above, but who focus at system level)
- That the mission of the new working group should be:
  - To support trust and reliance in a system of shared monograph retention, promote, identify and/or establish community standards and perhaps even certify compliance. Such standards, best practices and guidelines will support better local decision-making, speed resource sharing, strengthen long-term preservation, and ensure interoperability between the diverse networks and programs that will administer retention locally.
  - Email: [mmendez@blc.org](mailto:mmendez@blc.org) if interested in serving

# Recommendations (con't)

- Timeline and deliverables
  - **First 6 months:** Environmental scan of existing standards and guidelines\*
  - **Next 3 months:** Building on the scan, identify gaps where guidelines are lacking. Consider how to fill the gaps. Prioritize the order in which gaps should be addressed, and possibly by whom.
  - **By nine months:** Write/share a report and recommend next steps

\*Some of the areas under consideration: metadata, validation, storage environment, condition, replacement actions, optimal number of copies & last copy, etc.

We invite feedback from the community on existing standards via this crowd-sourced document –

<https://sites.google.com/a/blc.org/summit/>

# Risk Research Working Group

- This group is charged with identifying monographs that are at risk and why. The Task Force will:
  - Perform a scan of existing and previous risk related projects.
  - Review available data, for example the EAST Validation study
  - Identify gaps in risk models and research
  - Propose research to fill any exposed gaps
  - Advise interested parties if there are imminent risks to the completeness or accessibility of the printed record



# Risk Research (con't)

## **Milestones:**

- Sept.1 – Complete review of prior work
- Dec.1 – Compile a list of research gaps with priorities

# Communications Working Group

- The Shared Print Communications Working Group was tasked with enabling the development of compelling narratives around shared print. The group's initial work to carry out this assignment focused on defining target audiences for communications and then exploring ways of determining why shared print efforts are important for each constituency.
- Began by identifying core audiences for communications and then began to explore how best to engage each audience group

# The Audiences and Narrative Matrix

- University administrators, faculty (humanities, social sciences, STEM), students, library staff and administrators, consortia members, general public, very large research libraries, and smaller (non-research) libraries.
- Developed [a narrative matrix](#) to formulate and test assumptions about each group
- Done through:
  - Reviewing news articles
  - Conversing with representative members of each group
  - Gathering information from communication and marketing staff

# The Narrative Matrix

A	B	C	Assumptions to Test (When do they need to be tested again?)				
Audience	Ways to Test Assumptions*	Experts to Consult to Develop Narrative	Most compelling mediums for storytelling	Kinds of storytellers they most trust	What is most important to them	Nightmares	Daydreams
Humanities faculty			Word of mouth from peers, industry news such as the Chronicle of Higher Ed., newer faculty are users of social media/twitter, any "news" outlet that recognizes their research	Other humanities faculty	Ability to access and browse books and other scholarly resources. Search/discovery - locating books. For some faculty ease of access rather than physical browsing is important (so good ILL infrastructure, document	Soulless, bookless library. Loss of ability for serendipity. Loss of print reading culture. Complete/clear metadata that helps them understand what they will request. Incomplete metadata leads to requesting	Being immersed in the stacks. Ensuring that books are preserved and remain available to them. Complete/clear metadata that helps them understand what they will request: viewable tocs and index.

# Conclusions to Date

- Members of the academic community care deeply about books
- It is imperative that the stories we tell focus on expanding and guaranteeing access
- Shared print is a component of these stories, but it is not THE STORY
- We must maintain an acute awareness of audience in all of our storytelling
- We must be vigilant against falling into the trap of telling stories to each other: the key is to tell compelling stories that resonate with those outside libraries

# Recommended Actions

- A key role for Shared Print Group is a non librarian who is a strong communicator and advocate of libraries; create and fill this role.
- Tell the story of our work to expand and guarantee access.
- Establish realistic expectations around access to collections, and then meet (or exceed these expectations) so as to build constituents' trust.
- Tell the story of unique and interesting collections and how libraries take great care of them (e.g. preservation) and how even non-research libraries have such items and can participate in shared collaboration
- Create a list of stopwords and library jargon; words that we should strongly consider NOT using when communicating to key stakeholders outside libraries.

# Next Steps

- Each Working Group has specific plans for continuing in some way over the next 3-6 months
- EAST anticipates sponsoring a follow-up meeting in late fall/early winter to push forward with the work
- We invite feedback from the community on two documents: – <https://sites.google.com/a/blc.org/summit/>
  - **Use cases for shared open retention data**
  - **Crowd-sourced document on existing standards**
- Our goal is to be as transparent as is feasible and to engage deeply with other organizations who share our mission and goals
- So, stay tuned....

# Thank you.

<https://sites.google.com/a/blc.org/summit/>



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[www.eastlibraries.org](http://www.eastlibraries.org)

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