

# *“Full Steam Ahead”: Serial & Journals Update*

**October 19, 2018**

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Sara Amato, EAST Data Librarian**



**BLC**

BOSTON LIBRARY CONSORTIUM



**EAST**

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# Phase 1 Participants

- Amherst College
- Boston College
- Boston University
- Brandeis University
- Connecticut College
- Elms College
- Fairfield University
- Five Colleges Consortium
- Hamilton College
- Lafayette College
- Loyola Notre Dame
- MBLWHOI
- Mount Holyoke College
- Siena College
- Smith College
- Swarthmore College
- Trinity College
- Union College
- University of Massachusetts - Amherst
- Williams College



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Center *for* Research Libraries

GLOBAL RESOURCES NETWORK

EAST contracted with CRL to:

- Validate, normalize, and compare holdings across libraries
- Provide a suite of Excel spreadsheet reports to EAST for review
- Based on EAST's development of a retention model, facilitate retention allocations across the participating libraries



EAST

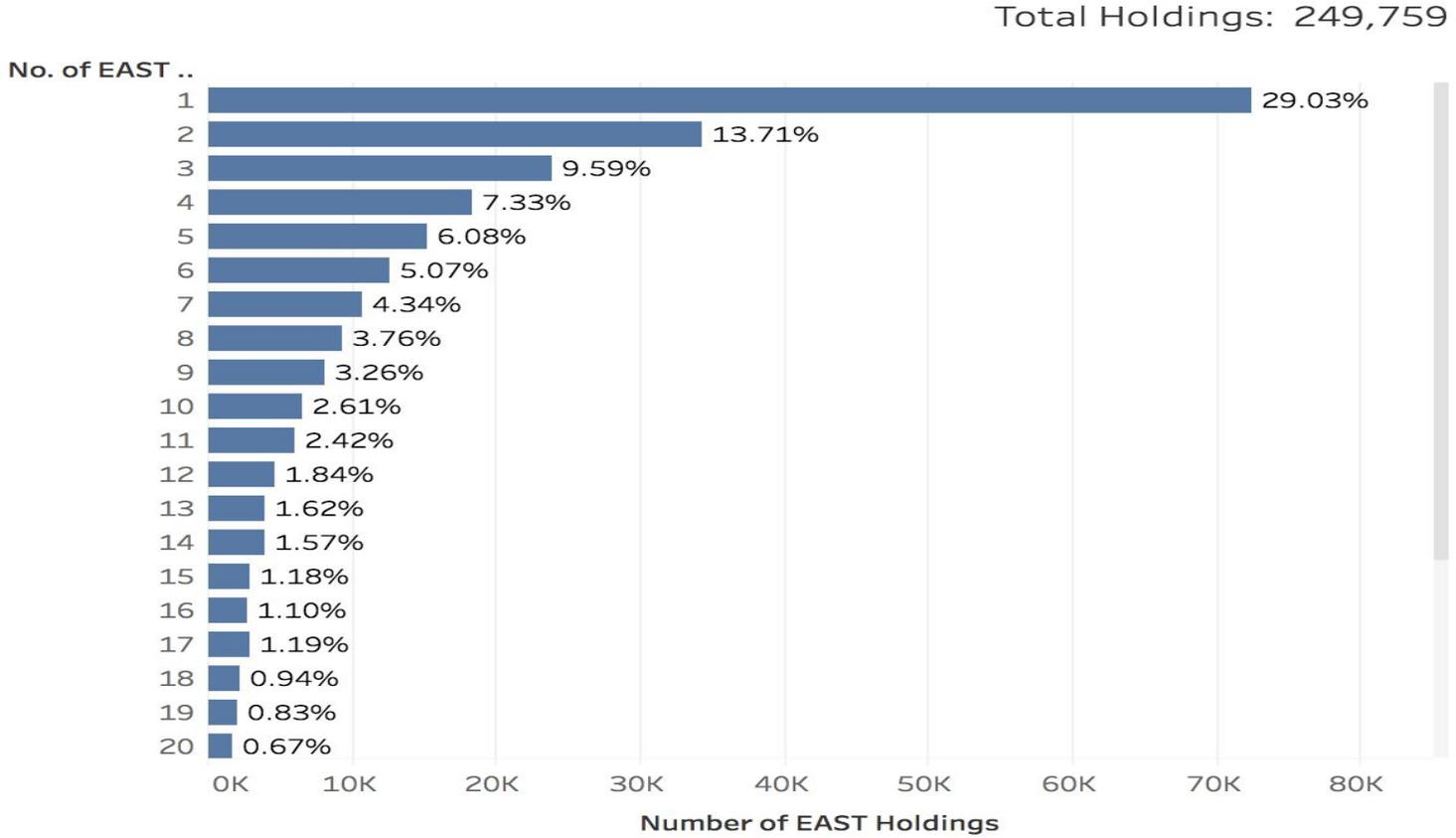
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# Getting to this, getting from this to...

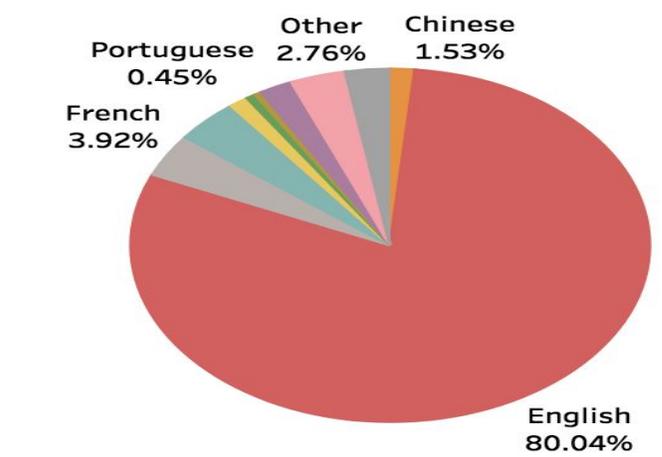
ID	OCLC	ISSN	Title	Language Code	Country of Publication	006 date: 1	006 date: 2	LC	electronic exists	Electronic OCLCs	In PARR	In PARR - Fixed	Number of Copies in PARR	Number of Copies in PARR - Fixed
1	5E+05		Bulletin of information & sample tests	eng	nju	1900		L						
2	5E+05		The Biographical cyclopaedia of American women	eng	nju	1921	9999	C	Yes	091516595				
3	7E+05		Transactions	eng	nju	1950	1999	Q						
4	7E+05		Boletín estadístico trimestral: Cuarterly statistical bulletin	spa	cc	1900	9999	H						
5	7E+05		Abstracts of papers presented at the meeting	eng	dcu	1900	9999	Q	Yes	986396301				
6	7E+05		History of Japan	eng	ja	1953	9999	D	Yes	986176783				
7	6E+05 0001-0030		AAAG bulletin	eng	dcu	1912	1974	Q			Yes	Yes	1	1
8	6E+05 0001-1460		AAA student journal	eng	nju	1963	2002	T	Yes	733021864	Yes	Yes	1	1
9	6E+05 0032-171X		Population review	eng	cau	1957	1999	H			Yes	Yes	3	5
10	6E+05 0015-1406		Film society review	eng	nju	1965	1972	P				Yes		2
11	6E+05 0015-1236		Film ten monthly	eng	nju	1900	9999	P						
12	6E+05 0001-1452		AAA journal	eng	nju	1963	9999	T	Yes	15739692; 21955394	Yes	Yes	6	5
13	6E+05 0001-0156		ABC pol sci advance bibliography of contents, political science & government	eng	cau	1969	2000	Z			Yes	Yes	3	4
14	6E+05 0001-150X		AJDC: journal	eng	msu	1966	1980	H	Yes	7196045; 7608894-44				
15	6E+05 0125-0194		English in education	eng	enk	1967	9999	L						
16	6E+05 0015-511X		Focus on exceptional children	eng	cau	1969	2012	L			Yes	Yes	3	4
17	6E+05 0027-0865		Moody's OTC industrial news reports	eng	nju	1970	1999	H	Yes	7607071-4				
18	6E+05 0005-7694		Behavior therapy	eng	nju	1970	9999	R	Yes	60020207	Yes	Yes	6	6



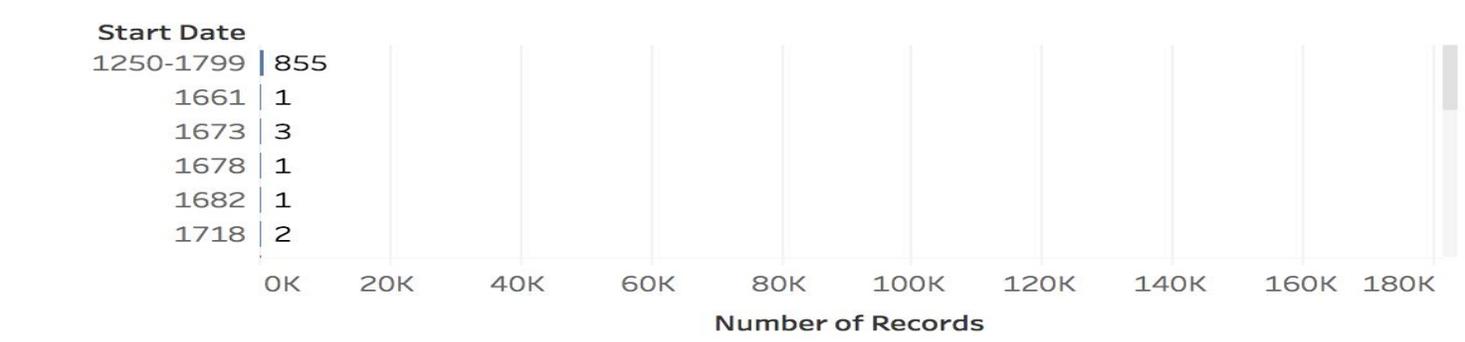
## EAST Title Holdings Levels



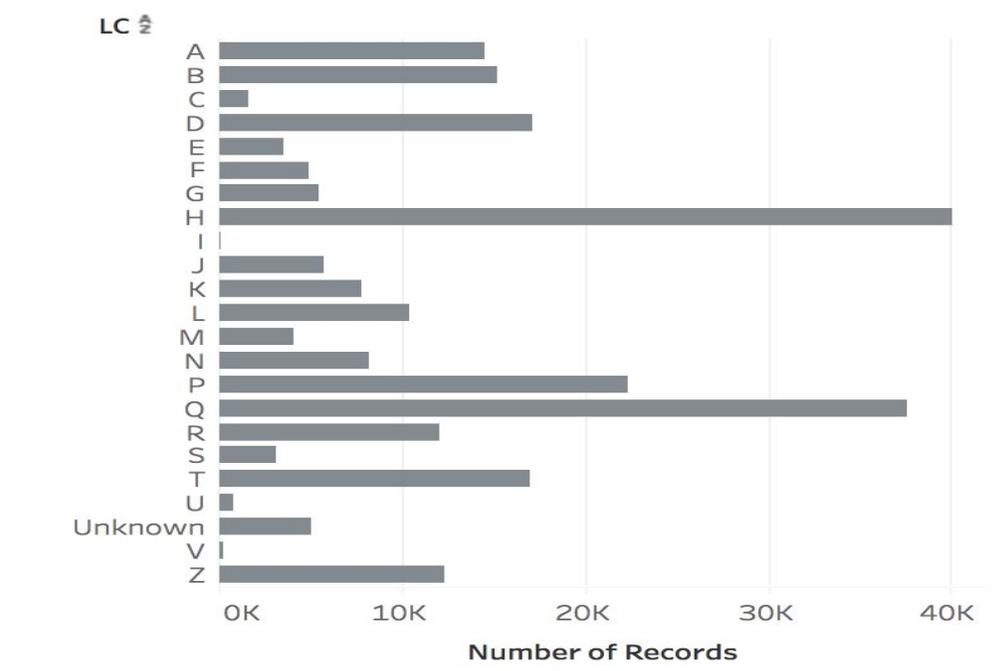
## Languages



## Pub Date



## LC



# EAST SERIALS/JOURNALS - Phase 2

114,204 EAST Title Sets

249,759 EAST Title Holdings

Oclc	Title	ISSN	No. of EAST Li..	Holding Libraries
270599	Bulletin of information & sample tests		1	University of Pittsbu
492221	The Biographical cyclopaedia of American women		1	Rochester
689577	Transactions		3	Boston University, M
691463	Boletín estadístico trimestral: Quarterly statistical bu..		1	University of Pittsbu
713253	Abstracts of papers: [presented at the] meeting		1	Hofstra
717592	History of Japan		2	Rochester, Syracuse
807421	AAAS bulletin	0001-0030	3	Boston University, F
807527	AIAA student journal	0001-1460	2	Five Colleges, Unive
809274	Population review	0032-471X	8	Boston University, B
809296	Film society review	0015-1408	6	Boston College, Bosl
809338	Film fan monthly	0015-1238	2	Rochester, Syracuse
809393	AIAA journal	0001-1452	11	Boston College, Bosl
809405	ABC pol sci: advance bibliography of contents, political..	0001-0456	7	Boston College, Bosl
809454	A.I.D.C. journal	0001-155X	2	NYU, University of P
809712	English in education	0425-0494	1	Boston College
809741	Focus on exceptional children	0015-511X	8	Boston College, Five
811850	Meadellc OTC industrial news reports	0027-0865	1	University of Pittsbu

EAST Holdings

1 28

Cohort

(All) ▼

EAST Retained

(All) ▼

Rosemont

(All) ▼

Critical Corpus

(All) ▼

Library

(All) ▼

LC

(All) ▼

## Totals by Library

*EAST Holdings (total holdings for these titles in EAST)*

*Library Title Sets (how many titles in my library)*

	Library Title Sets	EAST Holdings
Amherst	1,187	5,693
Bard	118	589
Boston College	4,535	21,559
Boston University	4,423	20,972
Brandeis	2,755	13,216
Connecticut College	1,592	7,724
Elms	44	216
Fairfield	372	1,795
Five Colleges	2,503	11,888
Hamilton	1,253	6,171
Lafayette	1,564	7,705
Loyola	598	2,883
Mount Holyoke	1,406	6,879
Siena	374	1,847
Smith	1,247	6,044
Swarthmore	832	4,145
Trinity	1,217	6,012
UMass Amherst	3,993	18,886
Union	1,219	5,998
Williams	2,406	11,606
Woods Hole	767	3,681

EAST Holdings

4

6

Jstor

(All)

Not in JSTOR

In JSTOR

Totals, by library, of those titles held by 4-6 of the retention partners: 7,348 titles representing 34,405 holdings

# Phase 1 Retention Model

Agreed to retain 3 copies of each title held by 4-6 EAST Cohort 1 libraries.  
Libraries with deepest runs allocated commitment.

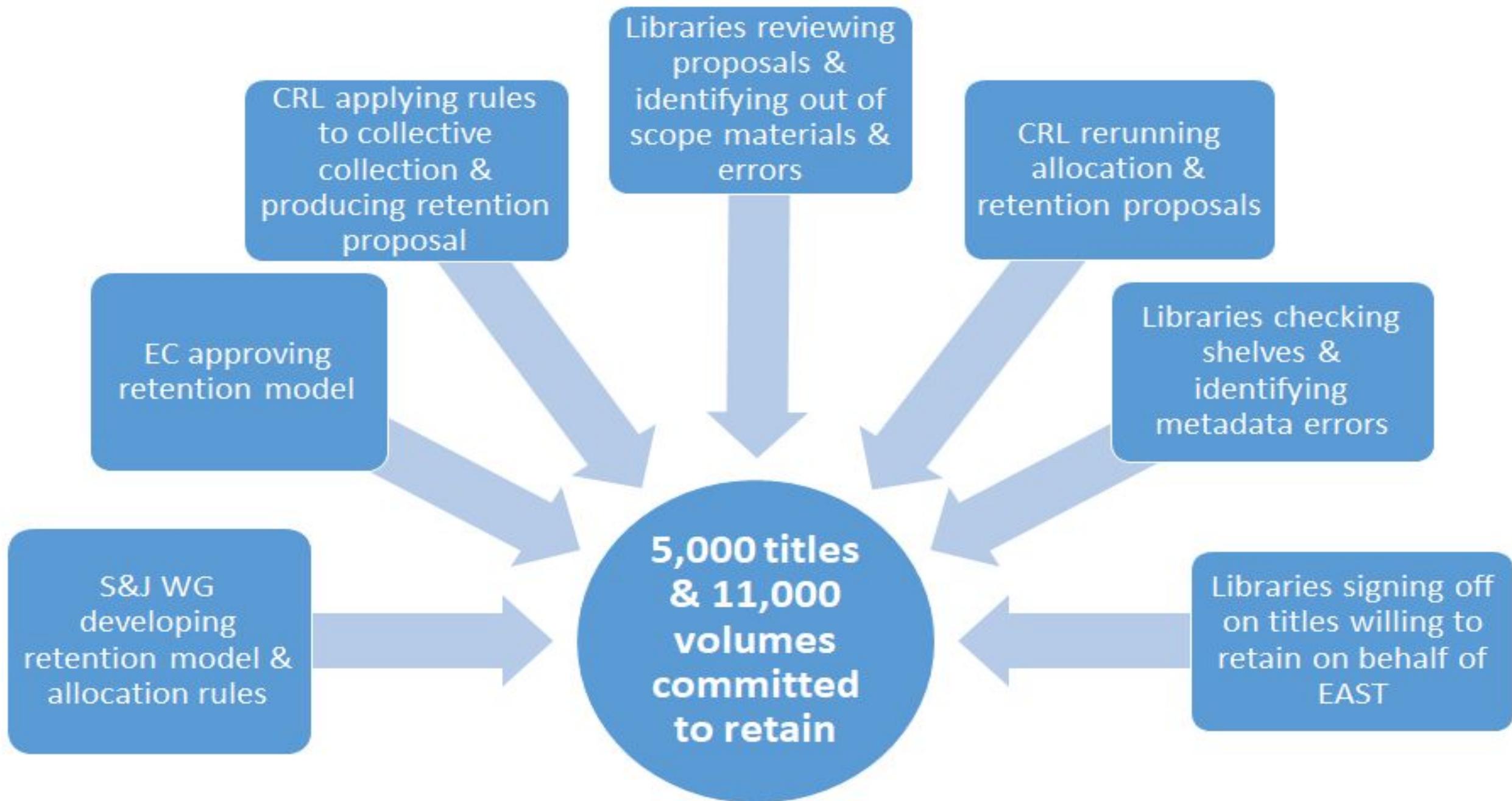
With the exception of the following, which were agreed to be out of scope for retention:

- JSTOR titles
- Government documents
- Monographs/monographic series
- Non-print format
- Newspapers
- Factbooks
- Handbooks
- Guides
- Reference works
- Indexes
- Directory
- Loose-leaf reference work
- Almanacs
- Yearbooks



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# Registered Commitments in PAPER

← → ↻ ⓘ Not Secure | papr.crl.edu/title/search/program/62/eastern-academic-scholars-trust-east ☆

**PAPER** | PRINT ARCHIVES  
PRESERVATION REGISTRY

Dashboard | Directory | **Archived Titles** | Statistics

Programs | Facilities | Archiving Institutions

## Search Results

Download Archived Holdings      Download Gaps and Condition Report

4986 results for program: Eastern Academic Scholars' Trust (EAST) - page 1 of 250      1 2 3 4 5 »

Title	Publisher	ISSN	Program(Institution)
"Recherches" sur la musique française classique.	A. et J. Picard	0080-0139	EAST (SNN) EAST (BOS)

## EAST Retentions

SEARCH:

Number of EAST Retention Copies:

HathiTrust:

Retained by (note that selections are "OR"ed together):

- |  |   |  |   |
|--|---|--|---|
| <input type="checkbox"/> Any (default)       | <input type="checkbox"/> Elms College             | <input type="checkbox"/> New York University     | <input type="checkbox"/> UMass Dartmouth          |
| <input type="checkbox"/> Adelphi University  | <input type="checkbox"/> Fairfield University     | <input type="checkbox"/> Phillips Exeter Academy | <input type="checkbox"/> UMass Lowell             |
| <input type="checkbox"/> Amherst College     | <input type="checkbox"/> Five Colleges, Inc.      | <input type="checkbox"/> Rochester               | <input type="checkbox"/> University of N.H.       |
| <input type="checkbox"/> Bard College        | <input type="checkbox"/> Florida State University | <input type="checkbox"/> Saint Anselm College    | <input type="checkbox"/> Union College            |
| <input type="checkbox"/> Boston College      | <input type="checkbox"/> Furman University        | <input type="checkbox"/> Siena College           | <input type="checkbox"/> Union College (cohort 2) |
| <input type="checkbox"/> Boston University   | <input type="checkbox"/> Gettysburg College       | <input type="checkbox"/> Skidmore College        | <input type="checkbox"/> UMass Medical School     |
| <input type="checkbox"/> Brandeis University | <input type="checkbox"/> Hamilton College         | <input type="checkbox"/> Smith College           | <input type="checkbox"/> University of Pittsburgh |
| <input type="checkbox"/> Bridgewater State   | <input type="checkbox"/> Hampshire College        | <input type="checkbox"/> Swarthmore College      | <input type="checkbox"/> University of the South  |
| <input type="checkbox"/> Bryn Mawr College   | <input type="checkbox"/> Hofstra University       | <input type="checkbox"/> Syracuse University     | <input type="checkbox"/> Vassar College           |
| <input type="checkbox"/> Bucknell University | <input type="checkbox"/> Lafayette College        | <input type="checkbox"/> Trinity College         | <input type="checkbox"/> Wellesley College        |
| <input type="checkbox"/> Colby               | <input type="checkbox"/> Loyola Notre Dame        | <input type="checkbox"/> Tufts University        | <input type="checkbox"/> Wesleyan University      |
| <input type="checkbox"/> Connecticut College | <input type="checkbox"/> MBL/WHOI                 | <input type="checkbox"/> UConn                   | <input type="checkbox"/> Williams College         |
| <input type="checkbox"/> Davidson College    | <input type="checkbox"/> Middlebury College       | <input type="checkbox"/> UMass Amherst           | <input type="checkbox"/> Yeshiva University       |
| <input type="checkbox"/> D. S. I. University | <input type="checkbox"/> Mount Holyoke            | <input type="checkbox"/> UMass Boston            |   |

# Guidance Available for Recording Commitments

## Navigation

[Home](#)

▼ [Overview of the 583 field](#)

[Serials/Journals](#)

[Documentation for your ILS](#)

[Identify Missing items on Monograph Allocation Lists](#)

[Examples of EAST Disclosure in Member Catalogs](#)

[583 Working Group Meeting Agendas & Members](#)

[Overview of the 583 field](#) >

## Serials/Journals

See also the [Slides](#) and [Recording](#) of the 'Recording Serials and Journals' webinar

Recording retentions for serials is very similar to [recording retentions for monographs](#).

The 583 is used with an additional normalized holdings statement in a subfield '3'. For EAST these will always be closed holdings, as libraries will be committing only to what they currently own. These holdings ranges may be extended at any time if the library so desires. A breakdown of the marc 583 for EAST serials/journals is below. A single "committed to retain" 583 is required.

Example:

```
583 1b |a committed to retain |c 20160630 |d 20310630 |f EAST |3 v.1-V.50 (1951-2005) |u http://eastlibraries.org/retained-materials |5 MeWC
```

If a library is also doing validation of serials holdings, two additional 583s\*\* may be used to record this information. These have the subfield 'a' of 'condition reviewed' or 'completeness reviewed'. While validation is not required, most EAST Serials and Journals Partners have expressed a desire to do volume level validation of holdings before assigning retention commitments. This can be recorded in the subfield 'i' using either 'volume-level', 'issue-level' or 'page-level' depending on the level of validation conducted. Subfield 'i', status, may also be used to note problems, e.g. gaps. See the preferred print archiving terms in the [Preservation & Digitization Actions: Terminology for MARC 21 Field 583](#) (PDA), and note this terminology is used by including a subfield '2' of pda . Use the ‡z Public Note to specify the physical units for which condition or completeness problems have been identified.



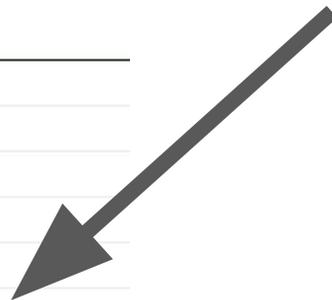
# Siena College - Serial Display



RECORD #    
 Limit search to available items

**Title** **Annuaire mediaevale.**  
**Imprint** Atlantic Highlands, N.J. [etc.] Humanities Press [etc.] 1960-1982.

LOCATION	CALL #	NOTE	STATUS
SECOND FLOOR	<a href="#">D111 .A55</a> v.1 1960		AVAILABLE <a href="#">EAST</a>
SECOND FLOOR	<a href="#">D111 .A55</a> v.2 1961		AVAILABLE <a href="#">EAST</a>
SECOND FLOOR	<a href="#">D111 .A55</a> v.3 1962		AVAILABLE <a href="#">EAST</a>
SECOND FLOOR	<a href="#">D111 .A55</a> v.4 1963		AVAILABLE <a href="#">EAST</a>
SECOND FLOOR	<a href="#">D111 .A55</a> v.5 1964		AVAILABLE <a href="#">EAST</a>
SECOND FLOOR	<a href="#">D111 .A55</a> v.6 1965		AVAILABLE <a href="#">EAST</a>
SECOND FLOOR	<a href="#">D111 .A55</a> v.7 1966		AVAILABLE <a href="#">EAST</a>
SECOND FLOOR	<a href="#">D111 .A55</a> v.8 1967		AVAILABLE <a href="#">EAST</a>
SECOND FLOOR	<a href="#">D111 .A55</a> v.9 1968		AVAILABLE <a href="#">EAST</a>
SECOND FLOOR	<a href="#">D111 .A55</a> v.10 1969		AVAILABLE <a href="#">EAST</a>



# Recording Commitments in OCLC WorldCat

- Current not available, but OCLC-CRL collaboration to support improvements in the WorldCat infrastructure for managing shared print collections. Including both the registration, discovery and access to large scale data.
- Ability to register multi-part monograph sets also included in project plans.
- OCLC held Focus Group meeting yesterday w/ reps from EAST working groups. Project Team will continue to monitor efforts and communicate member needs.

# Phase 2 of Serial & Journal Project - Addition of 11 Libraries

- New York University
- Syracuse University
- Vassar College
- Tufts University
- DeSales University
- UMass Medical Library
- University of Pittsburgh
- Hofstra University
- University of the South
- University of Rochester
- U.S. Coast Guard Academy

# Phase 2 - Proposed Cohort 2 Retention Model

## Scenario 1-Phase 2

- \* In Cohort 2
- \* 4-6 Holdings in EAST
- \* Not Retained by Cohort 1
- \* Not in Rosemont

**3,961** Title Sets in C2  
**8,700** Title Holdings in C2

Library	No. Titles in Library	TOTAL EAST Holdings
Coast Guard	10	43
DeSales	33	160
Hofstra	46	219
NYU	346	1,627
Rochester	2,121	9,815
Sewanee	217	1,012
Syracuse	1,886	8,765
Tufts	427	2,039
UMass Medical	81	365
University of Pittsburg	2,647	12,200
Vassar	886	4,234

# Phase 2 - Combined C1 & C2 Work On Widely-held Titles

## Widely Held

- \* Held by 7-28 (both cohorts)
- \* Not Retained by Cohort 1
- \* Not in Rosemont

**706** Title Sets  
**7,415** Title Holdings

Library	No. Titles in Library	TOTAL EAST Holdings
Amherst	262	3,014
Bard	50	700
Boston College	455	5,118

Rosemont

- (All)  
 No  
 Yes

EAST Retained

- (All)  
 No  
 Yes

EAST Holdings

7 27

Critical Corpus

# Phase 2 - Combined C1 & C2 Work On Scarcely-held Titles

## Scarcely Held

- \* Held by 1-3 Libraries (both cohorts)
- \* Not Retained by Cohort 1
- \* Not in Rosemont
- \* Title in the Critical Corpus

**36,626** Title Sets  
**49,619** Title Holdings

Library	No. Titles in Library	TOTAL EAST Holdings
Amherst	1,864	2,901
Bard	26	57
Boston College	2,854	5,111
Boston University	3,465	5,916
Brandeis	2,057	3,280
Coast Guard	38	54

### Critical Corpus

- (All)
- No
- Yes

### Rosemont

- (All)
- No
- Yes

### EAST Retained

- (All)
- No
- Yes

### EAST Holdings

1 3

# EAST @ the Bleeding Edge

- Most serials/journals projects have focused on specific publishers or subjects where detailed collection analysis & allocation is not required
- Serials collection analysis is HARD
- Still new for CRL so setting expectations and ongoing communication have been critical
- Dirty data lessons
- More selective retention than with monographs



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# Policy on Replacing Serial & Journal Titles

For serial and journal titles, EAST members are encouraged to donate their copies to Retention Partners to help fill gaps, but replacement is not required for these material types.



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# Gap Filling Procedure

EAST libraries planning on withdrawing serial and journal titles are encouraged to first check the EAST Retention Database to identify whether the titles have existing EAST commitments and if there are gaps in the holdings of the retained titles which they could fill.

If your library's holdings could fill gaps contact the holding EAST Retention Partner library with the Title, OCLC number, and your holdings range.

If the EAST retaining library is willing to accept a physical transfer of issues to fill gaps they should follow EAST procedures for updating retention commitment statements in catalogs.

Shipping costs will be negotiated between the libraries and at their own expense.

The Rosemont Shared Print Alliance is a collaboration of regional programs interested in coordinating their efforts on a larger scale to ensure the retention of and access to print journal backfiles.

Current participants include:

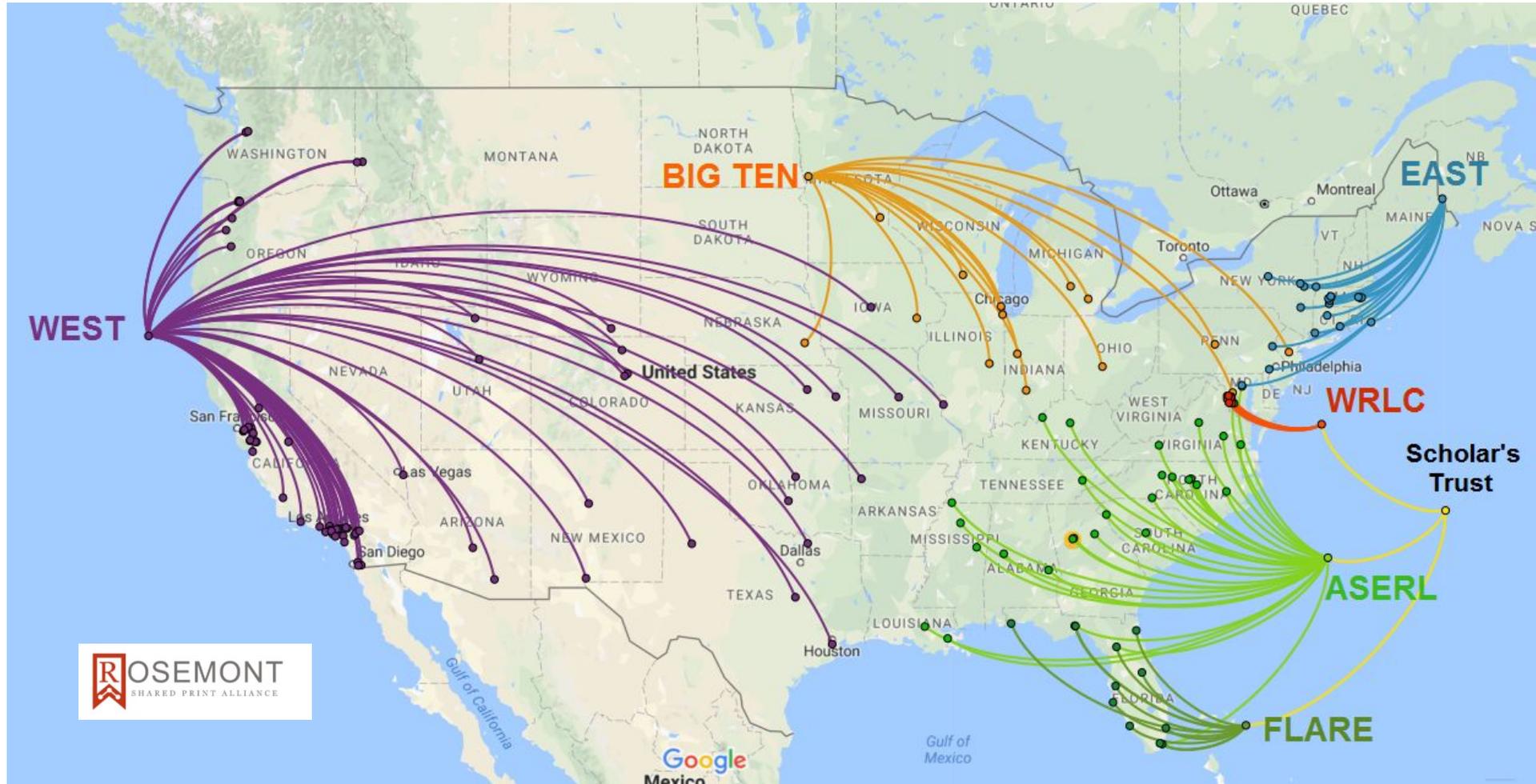
- [Big Ten Academic Alliance Shared Print Repository](#) (CICBTAA-SPR)
- [Eastern Academic Scholars' Trust](#) (EAST)
- [Florida Academic Libraries Repository](#) (FLARE)
- [Scholars Trust](#)
- [Western Regional Storage Trust](#) (WEST)



FLorida  
Academic  
REpository



# Rosemont's 160+ U.S. Institutions



USA map: Google Maps; Network map: Rebecca Crist

# Notable Rosemont Activities 2017-2018

- Worked with OCLC Sustainable Collection Services on specs for a decision-support tool for serial and journal retention
- In June, EAST Serial & Journal Retention Partners agreed to the Rosemont Access Principles
- Revising 2017-2021 roadmap in-light of developments focussing on:
  - Collection Growth
  - Decisions Support Tool
  - Participation Model

**Rosemont beginning to formalize itself as a shared collection**

# Thank You S&J Working Group Members

**Palash Bosgang**, Bard College

**Penny Cowan**, University  
of the South

**Lindsay Cronk**, University of Rochester

**Sarah Glasser**, Hofstra University

**Martha Gunnarson**, WPI

**Sally Krash**, UMass Amherst

**Missy Laytham**, Loyola Notre Dame

**Kathleen Norton**, Mount Holyoke College

**Nina Peri**, Fairfield University

**Matthew Person**, MBLWHOI

**Mary Piorun**, UMass Medical

**Peggy Seiden**, Swarthmore  
College

**Steve Smith**, Boston  
University

**Barbara Swetman**, Hamilton  
College

**Sally Wyman**, Boston College



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