Building a Shared Print Network in New England and Beyond

NETSL Annual Spring Conference
April 8, 2016
Anna Perricci, EAST Project Manager
aperricci@blc.org
Informal **EAST** audience census

*Show of hands*

- Are you affiliated with a library that is an EAST partner?
- Are you a member of the Monographs WG or Validation WG?
- Are you a Validation Sample Study Coordinator?
- Are you otherwise interested in EAST?
EAST is

- A shared print monograph retention partnership of 48 college and university libraries across the Northeastern United States

- In its implementation phase as of mid 2015 with support from the Mellon Foundation and the Davis Educational Foundation

- A partnership that is leveraging a “distributed retention model”
  - Libraries become retention partners committed to retaining and sharing designated copies of print monographs for use of the patrons of any of the libraries participating in EAST
EAST teams

EAST leadership team
• Anna Perricci, EAST Project Manager
• Lizanne Payne, Shared Print Consultant
• Matthew Revitt, Shared Print Consultant
• Sara Amato, EAST Data Librarian
• Susan Stearns, Executive Director, Boston Library Consortium
• PIs on Mellon grant: John Unsworth (Brandeis) & Laura Wood (Tufts)

• Executive Committee (primary governance)
• Sustainable Collections Services (SCS)
• Monographs Working Group
• Validation Working Group

Eastern Academic Scholars’ Trust
EAST has

- Stakeholders from 48 libraries, including members of other consortia & partnerships

- Access to a large data set in GreenGlass for collection analysis (containing over 16.5 million monographs)

- Preliminary policies drafted for a sustainable and robust shared print project

- Financial support from partners/members, the Mellon Foundation (supporting implementation phase) & the Davis Educational Foundation (collection analysis)
Validation Sample Study #1

• A small **sample study** to determine an “availability metric” that provides a rough estimate of the percentage of library holdings likely to be available (are accounted for)

• This metric will be used by the Monograph Working Group in May as one factor in determining how many copies to retain

• Each library received a list of 6,000 titles randomly selected from the in-scope records that were sent to SCS

• Libraries are checking the local ILS and shelves to determine availability, and perform a quick condition assessment of the items
Validation Sample Study #1

- **Thank You** to the Validation Working Group members and Validation Sample Study Coordinators!
- Training and outreach completed in February
- Libraries ran checks against ILS (identify checked out materials)
- Data collection began in earnest week of Feb. 22
- Completion April 22\textsuperscript{nd}
- Anonymized data reviewed by MWG with recommendation to EAST Executive Committee by early June

Eastern Academic Scholars’ Trust
# End Result - Availability Metric

<table>
<thead>
<tr>
<th>STATUS</th>
<th>DEFINITION</th>
<th>COUNTED AS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Present</td>
<td>Catalog status reflects availability on shelf, and the item has been verified to be present.</td>
<td>Accounted For / Available</td>
</tr>
<tr>
<td>CheckedOut</td>
<td>Catalog status reflects the item is in circulation.</td>
<td>Accounted For / Available</td>
</tr>
<tr>
<td>LMBO</td>
<td>Catalog status reflects the item is Lost, Missing, Billed or Otherwise not currently available.</td>
<td>Unaccounted For / Unavailable</td>
</tr>
<tr>
<td>NotOnShelf</td>
<td>Catalog status reflects availability but item was not found on shelf.</td>
<td>Unaccounted For / Unavailable</td>
</tr>
</tbody>
</table>
Validation Sample Study Coordination

Training materials

Videos for Students and Staff Collecting Data

How to use the Validation Tool
How to Assess Condition

Materials for Coordinators

Comparing barcodes to catalog (ILS check)

How to use the Validation Tool

In depth training webinar slides & webinar recording

General information on Validation Sample Study #1

A brief summary of the Validation Tool

If you like lists...

The EAST Validation Sample Study (VSS) #1 has a lot of moving parts but to set expectations and open the lines of communication here are the top 6:

1. The results of this study will help EAST estimate the potential availability of materials that may be lent through EAST. The Validation Sample Study assessed a random sample of 6,000 monographs in participating libraries’ collections, a sample size determined in part...
Introduction to the Validation Tool: Check Shelves

CHECK SHELVES
Check the shelves to verify the presence of an item.
12 Items In Process (Clear)

Enter Your Initials:
Location: ba
How many? 10

<table>
<thead>
<tr>
<th>Item Code</th>
<th>Title</th>
<th>Condition</th>
<th>Location</th>
<th>How Many</th>
</tr>
</thead>
<tbody>
<tr>
<td>AC75 .A7 2</td>
<td>[Articles] por German Arciniegas.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>31796004562050</td>
<td>Present</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>31796101408595</td>
<td>Present</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Eastern Academic Scholars’ Trust

BOSTON LIBRARY CONSORTIUM
Introduction to the Validation Tool: Barcode Wanding

The preferred method of data collection is to wand barcodes. A barcode ‘hint’ is offered in the barcode field to assist with locating correct volume.
Items which are identified as present require a condition assessment.

Data cannot be submitted without this assessment being completed.
Status of Data Collection

Our data collection tool provides real-time data updates to the EAST team.

As of Thursday, April 7, the libraries have checked over 90% of the total of sample and of those over 217,000 items, 97% were accounted for.

19 libraries have completed data collection as of 4/7.
### Summary

<table>
<thead>
<tr>
<th>Totals</th>
<th># Checked</th>
<th>% Accounted For</th>
<th>Average Condition</th>
<th>% Validated by Barcode</th>
<th>% In Circ</th>
</tr>
</thead>
<tbody>
<tr>
<td>217363 (90.6%)</td>
<td></td>
<td>97.0%</td>
<td>2.2</td>
<td>87.0</td>
<td>2.6</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Status</th>
<th>Definition</th>
<th>Counted As</th>
</tr>
</thead>
<tbody>
<tr>
<td>Present</td>
<td>Catalog status reflects availability on shelf and item has been verified as present.</td>
<td>Accounted For / Available</td>
</tr>
<tr>
<td>CheckedOut</td>
<td>Catalog status reflects the item is in circulation.</td>
<td>Accounted For / Available</td>
</tr>
<tr>
<td>LMBO</td>
<td>Catalog status reflects the item is Lost, Missing, Billed or Otherwise not currently available.</td>
<td>Unaccounted For / Unavailable</td>
</tr>
<tr>
<td>NotOnShelf</td>
<td>Catalog status reflects availability but item was not found on shelf.</td>
<td>Unaccounted For / Unavailable</td>
</tr>
</tbody>
</table>
Eastern Academic Scholars’ Trust
The EAST Shared Print Collection

**title sets**
4,749,042

**title holdings**
16,573,071

 **title sets held by one library in the group**
50%
2,359,033 title sets

 **title sets with > 10 aggregate uses**
20%
939,819 title sets

 **title sets represented in hathitrust**
39%
1,865,115 title sets
1 or 2 holdings – 66%
3-9 holdings – 25%
10-19 holdings – 8%
20+ holdings – 2%
Fundamental discussions in modeling

- Retain only some or retain at least a copy of every title?
- What is the local capacity for retention—does it or how much does it vary from one institution to another?
- Ultimately how does one form algorithms to meet the local needs in a way that is manageable and sustainable through EAST?
Welcome the Google Site for EAST stakeholders!

This site is designed to provide information for EAST stakeholders engaging in our collection analysis project. The purpose of collection analysis is to form models in GreenGlass that can be used to generate retention proposals. These retention proposals will be issued to EAST Retention Partners in June, 2016.

Access GreenGlass via greenglass.sustainablecollections.com

To request a registered user account in GreenGlass, use this form

For general questions about EAST, please contact Anna Perricci (aperricci@blc.org)

For more information about GreenGlass, please contact Ruth Fischer (fischerr@oclc.org, (603) 746-5991)

If you have questions about retention models for EAST, contact Ruth Fischer (fischerr@oclc.org) and Matthew Revitt (matthew.revitt@maine.edu)
Policy and MOU Work

• Focus on policy first, MOU language second
• Policies based on those coming out of the planning process
• MOU discussions commence May/June
• September – Final review of MOU terms & conditions, and policies
• Review by EAST community with formal MOU and agreed policies in place by late fall, 2016 (upon Executive Committee approval)
• EAST members execute MOU, expected by December, 2016
Other Ongoing Work of the EAST Team

• In discussion with OCLC on batch updating of WorldCat with final retention commitments

• Future planning for EAST – coordination with other regional and national programs

• Future planning for 2nd cohort of EAST libraries in FY18
EAST Major Milestones (2016)

- Data extracts for collection analysis
- GreenGlass rolled out
  - Development of retention model(s) – mid March – mid May
  - EC approves final model(s) – early June
  - Retention proposals reviewed – June through late July
  - Retention commitments finalized – by mid to late August
Thank you!

Anna Perricci, EAST Project Manager
aperricci@blc.org