Dismantling Silos to Build Robust Shared Print Projects

ACRL - NEC
May 13, 2016
Anna Perricci, EAST Project Manager
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Informal EAST audience census

Show of hands

• Are you affiliated with a library that is an EAST partner?

• Are you a member of the Monographs WG or Validation WG?

• Are you a Validation Sample Study Coordinator?

• Are you otherwise interested in EAST?
EAST is Eastern Academic Scholars’ Trust
EAST teams

EAST leadership team

- Anna Perricci, EAST Project Manager
- Matthew Revitt, Shared Print Consultant
- Sara Amato, EAST Data Librarian
- Susan Stearns, Executive Director, Boston Library Consortium
- PIs on Mellon grant: John Unsworth (Brandeis) & Laura Wood (Tufts)

- Executive Committee (primary governance)
- Sustainable Collections Services (SCS)
- Monographs Working Group
- Validation Working Group

Eastern Academic Scholars’ Trust
EAST has

• Stakeholders from 48 libraries, including members of other consortia & partnerships

• Access to a large data set in GreenGlass for collection analysis (containing over 16.5 million monographs)

• Preliminary policies drafted for a sustainable and robust shared print project

• Financial support from partners/members, the Mellon Foundation (supporting implementation phase) & the Davis Educational Foundation (collection analysis)
Eastern Academic Scholars’ Trust
The EAST Shared Print Collection

title sets
4,749,042

title holdings
16,573,071

title sets held by one library in the group
50%
2,359,033 title sets

title sets with > 10 aggregate uses
20%
939,819 title sets

title sets represented in hathitrust
39%
1,865,115 title sets
1 or 2 holdings – 66%
3-9 holdings – 25%
10-19 holdings – 8%
20+ holdings – 2%
Uniqueness of EAST holdings

WORLDCAT® HOLDINGS IN THE US (SAME EDITION)

Eastern Academic Scholars’ Trust
Eastern Academic Scholars’ Trust
Fundamental discussions in modeling

• Retain only some or retain at least copy of every title?

• What is the local capacity for retention—does it or how much does it vary from one institution to another?

• Ultimately how does one form algorithms to meet the local needs in a way that is manageable and sustainable through EAST?
Survey 1 on retention models & key points

Do you believe EAST should commit to retaining at least 1 copy of every title currently owned within EAST libraries?
What do you believe is the **MAXIMUM percentage of each library's titles** that **EAST** can reasonably expect the library to retain?
Survey 2 on retention models included

My answers to questions in this survey

- Personal View
- Coordinated response
From a purely philosophical or theoretical vantage point, I/we support the concept of ‘unequal’ allocation of retention commitments, whereby some libraries could commit to retaining a higher than average percentage of their collection…
Third & final survey

- One response per library (via EAST Voting Member) [closed May 12]
  - 4/11 Retention Model is generally acceptable to my library
  - Maximum retention rate expected for your library
- Mid May: retention model recommendations finalized by Monographs Working Group
- Early June: Executive Committee approves final model
Videos on retention models

https://sites.google.com/a/blc.org/east-collection-analysis/videos-on-models
For more info on survey results

• See https://sites.google.com/a/blc.org/east-collection-analysis/surveys-on-models

• Talk to Matthew Revitt [matthew.revitt@maine.edu] and Ruth Fischer [fischerr@oclc.org]
<table>
<thead>
<tr>
<th>Task Name</th>
<th>Start Date</th>
<th>End Date</th>
<th>Assign To</th>
</tr>
</thead>
<tbody>
<tr>
<td>EC approves model or recommends final changes</td>
<td>06/06/16</td>
<td>06/06/16</td>
<td>EC</td>
</tr>
<tr>
<td>Retention commitments</td>
<td>06/06/16</td>
<td>08/16/16</td>
<td></td>
</tr>
<tr>
<td>SCS creates proposed lists of retained titles for each EAST library</td>
<td>06/06/16</td>
<td>06/24/16</td>
<td>SCS</td>
</tr>
<tr>
<td>EAST libraries report back changes to SCS</td>
<td>06/27/16</td>
<td>07/29/16</td>
<td>Libraries</td>
</tr>
<tr>
<td>SCS modifies retention lists to remove library rejections</td>
<td>06/27/16</td>
<td>08/16/16</td>
<td>SCS</td>
</tr>
</tbody>
</table>
Allocations workflows

https://www.youtube.com/watch?v=PcMexDeCTJ_U
Validation Sample Study #1

- **Sample study** to determine an “availability metric” to provide a rough estimate of the percentage of library holdings likely to be available (are accounted for)
- Building trust and support stakeholder buy in
- Each library received a list of 6,000 titles randomly selected from the in-scope records that were sent to SCS
- Each library checked the local ILS and shelves to determine availability, and perform a quick condition assessment of the items when they were physically available

Eastern Academic Scholars’ Trust
Validation Sample Study #1

- Thank You to the Validation Working Group members and Validation Sample Study Coordinators!
- Training and outreach completed in February
- Libraries ran checks against local ILS (identify checked out materials)
- Data collection began in earnest week of February 22nd
- Completed in 9 weeks (April 22nd)
- Statistics consultant is currently performing analysis
Staying on track on a tight schedule

Resources for Validation Sample Study Coordinators

https://sites.google.com/a/blc.org/validation-study-coordination/training-materials

Validation Sample Study Coordination

Training materials

Videos for Students and Staff Collecting Data

How to use the Validation Tool
How to Assess Condition

Materials for Coordinators

Comparing barcodes to catalog (ILS check)

How to use the Validation Tool

In depth training webinar slides & webinar recording

General information on Validation Sample Study #1

A brief summary of the Validation Tool

If you like lists...

The EAST Validation Sample Study (VSS) #1 has a lot of moving parts but to set expectations and open the lines of communication here are the top 6!

1. The results of this study will help EAST estimate the potential availability of materials that may be lent through EAST. The Validation Sample St. and assess the basic condition of a random sample of 6,000 monographs in participating libraries' collections, a sample size determined in conjunct...
Introduction to the Validation Tool: Check Shelves

AC75 .A7 2 [Articles] por German Arciniegas.  
31796004562050  
Present ☐  Not On Shelf ☐

31796101408595  
Present ☐  Not On Shelf ☐

Condition: (?)
Select  
Excellent  
Acceptable/Good  
Poor

Condition: (?)  
Select
### Summary

<table>
<thead>
<tr>
<th># Checked</th>
<th>% Accounted For</th>
<th>Average Condition</th>
<th>% Poor</th>
<th>% Validated by Barcode</th>
<th>% In Circ</th>
</tr>
</thead>
<tbody>
<tr>
<td>240,000 (100%)</td>
<td>97.0%</td>
<td>2.2</td>
<td>10.0</td>
<td>87.1</td>
<td>2.3</td>
</tr>
</tbody>
</table>

1=poor, 2=average, 3=excellent

<table>
<thead>
<tr>
<th>Status</th>
<th>Definition</th>
<th>Counted As</th>
</tr>
</thead>
<tbody>
<tr>
<td>Present</td>
<td>Catalog status reflects availability on shelf and item has been verified as present.</td>
<td>Accounted For / Available</td>
</tr>
<tr>
<td>CheckedOut</td>
<td>Catalog status reflects the item is in circulation.</td>
<td>Accounted For / Available</td>
</tr>
<tr>
<td>LMBO</td>
<td>Catalog status reflects the item is Lost, Missing, Billed or Otherwise not currently available.</td>
<td>Unaccounted For / Unavailable</td>
</tr>
<tr>
<td>NotOnShelf</td>
<td>Catalog status reflects availability but item was not found on shelf.</td>
<td>Unaccounted For / Unavailable</td>
</tr>
</tbody>
</table>
Policy and MOU Work

• Policy topics reviewed
  • Selection, retention, location, ownership
  • Access and delivery
  • Disclosure and Discovery

• Policy adoption

• Create Memorandum of Understanding (MOU) in summer to early fall 2016
Other Ongoing Work of the EAST Team

- In discussion with OCLC on batch updating of WorldCat holdings record with final retention commitments

- Future planning for EAST – coordination with other regional and national programs

- Future planning for 2nd cohort of EAST libraries in FY18
EAST Major Milestones (2016)

✓ Data extracts for collection analysis
✓ GreenGlass rolled out
✓ Validation Sample Study #1
  • Development of retention model (almost done!)
  • Policy review and adoption (almost done)
  • Executive Committee approves final model (early June)
  • Retention proposals reviewed (late June through late July)
  • Retention commitments finalized (by mid to late August)
Thank you!

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